

The Roles of $\alpha 5$ -Containing nAChRs in the Brain

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Abstract

Neuronal nicotinic acetylcholine receptors (nAChRs) are in the superfamily of the ligand-gated ion channels with an assembly of five subunits (α , β subunit). nAChRs, such as $\alpha 4\beta - \alpha v\delta$ $\alpha 7$ -nAChRs play crucial roles in nicotine reward, dependence and withdrawal. Recently, functional profiles of the $\alpha 5$ nAChRs have been studied using the $\alpha 5$ knockout (KO) and the overexpression mice. $\alpha 5$ -nAChRs in the brain participate in neuronal activities associate with nicotine dependence, withdrawal, aversion, as well as alcohol use disorders. The main focus of this review is to understand $\alpha 5$ -containing nAChR's properties, function, and related disorders recently discovered.

Keywords: nAChRs; Alpha 5 subunits; Nicotine; Alcohol

Introduction

Nicotine binds to nicotinic acetylcholine receptors (nAChRs), which are transmembrane proteins that form the pentameric ligand-gated ion channels with an assembly of five subunits. Neuronal nAChRs can either be heteromeric, consisting of a combination of $\alpha(\alpha 2-\alpha 6)$ and β subunits $\beta 2-\beta 4$, or homomeric, which consists of only α subunits ($\alpha 7-\alpha 10$) [1]. Each nAChR subunit consists of an extracellular N-terminus, four transmembrane segments (designated M1-M4), a variable intracellular loop between M3 and M4, and an extracellular C-terminus [2]. All five subunits form the conducting channel pore serve as the ACh-binding site in the N-terminus [2,3]. When the activation by an agonist, nAChRs open the ion channels that desensitize and are potentiated by calcium ions [4]. The combination of various nAChR subunits determines the distinct pharmacological function and kinetic properties of each specific nAChR subtype [1]. nAChRs are identified throughout the central (CNS) and peripheral nervous systems (PNS), as well as at skeletal neuromuscular junctions. Nicotinic receptors containing $\alpha 4$ and $\alpha 2$ subunits (denoted as $\alpha 4\beta 2$ nAChRs) are the predominant subtypes in the CNS, and account for most of the high affinity nicotine binding sites [5]. Animal studies show that this type of nAChRs plays critical roles in nicotine reward, dependence and withdrawal [6-8]. However, different from the $\alpha 4\beta 2$ nAChRs, the homomeric $\alpha 7$ nAChRs have a lower affinity to nicotine and can rapidly recover from desensitization, thus appear mainly to be involved in the later stages of nicotine dependence [9]. Studies of the involvement of $\alpha 6$ nAChR subunit in nicotine dependence have only recently emerged [9,10]. Furthermore, the $\alpha 5$ -containing nAChRs have shown to be crucially important in the regulation of the medial habenula of the aversion and intakes of nicotine [11,12]. This review will summarize the function and the properties of $\alpha 5$ nAChRs in the brain, and graduate our understanding for neurobiology of nicotine and ethanol addiction.

$\alpha 5$ Distribution and Function

$\alpha 5$ is an accessory subunit that cannot form functional receptors without joining with the other essential subunits, and they do not contribute to the formation of the ACh binding sites. However, $\alpha 5$ subunit can be incorporated in the pentamer as accessory subunits [13-15], which can have dramatic effects on the conductance and desensitization of the receptors [13,14,16,17]. The accessory subunits may also involve in forming binding sites for positive allosteric modulators [18,19]. Among the CNS, $\alpha 5$ subunit is associated with 37% of the nAChRs in hippocampus, 24% of the nAChRs in striatum,

and 11-16% of the receptors in cerebral cortex, thalamus, superior colliculus, VTA and other regions [20, 21].

The mesocorticolimbic dopamine (DA) system has received the most attention for its role in reinforcing rewarding behaviors [22]. Therefore the expression of $\alpha 5$ -containing nAChRs in this system is expected to play an important role in the regulation of drug addiction. The $\alpha 4\alpha 5\beta 2$ subtype is present at high density in the midbrain dopaminergic reward pathway [23-26]. $\alpha 5$ subunit significantly increases $\alpha 4$ subunit expression on the cell surface, strengthens baseline nAChRs currents and blunts the desensitization of nAChRs following nicotine exposure in the VTA. But $\alpha 5$ subunit does not alter the amount of ethanol potentiation in the VTA DA neurons. This suggests that $\alpha 5$ subunit is critical for controlling expression and function of a population of $\alpha 4$ -containing nAChRs in VTA [26]. Furthermore, $\alpha 4\alpha 5\beta 2$ nAChRs also involve in regulation of DA transmission in dorsal caudatoputamen (CPu) where it affects instrumental and habitual behaviors, but not in nucleus accumbens core (NAc), a region where generates pavlovian association [27]. Prefrontal cortex (PFC) is involved in higher order processes such as attention, impulse control, working memory, as well as drug addiction [28]. Exposure to nicotine can increase nAChRs expression, change GABAergic synaptic transmission, and decrease mGluR protein expression, thus causes altered synaptic function, and learning and attention behaviors [29-31]. $\alpha 5$ subunit is preferentially expressed by neurons in deep layers such as layer VI [32]. $\alpha 5$ subunits on layer VI pyramidal neurons are incorporated into the $\alpha 4\beta 2$ -containing nAChRs, and greatly enhance channel conductance [14] and inward currents [33]. Its presence also protects $\alpha 4\beta 2$ -nAChRs from complete desensitization [34,35]. In experiments, the presence of $\alpha 5$ subunit makes wild-type mice more sensitive to nicotine exposure, however, its loss results in attention deficiency [34]. Besides in the deep layers, $\alpha 5$ subunit is also expressed at a much lower levels by the GABAergic interneurons in the superficial layers [32], which only constitute a small number of cells modulated by

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$\beta 2$ -containing nAChRs [29].

The medial habenular-interpeduncular pathway (MHb-IPN) is involved in regulation of negative reward or the absence of anticipated positive reward [36-38], nicotine withdrawal [39], nicotine self-administration [40], and aversion to nicotine [11]. $\alpha 5$ sub-unit is expressed at high levels in the MHb-IPN pathway, which can co-assemble with $\alpha 2\beta 4$ [39,41], or $\alpha 3\beta 4$ [41-43], or $\alpha 3\beta 4$ containing nAChRs [44]. Recent reports have provided solid evidence that support the critical roles of MHb-IPN $\alpha 5$ assembly in nicotine abuse and dependence [45,46].

$\alpha 5$ subunit is also highly expressed in the periphery, where it co-assembles with $\alpha 3 \alpha \delta \beta 4$ subunits to form functional receptors in the autonomic ganglion cells [47,48]. In the $\alpha 3 \beta 4 \alpha 5$ combination, the $\alpha 5$ (Asn398) variant can involve in the regulation of autonomic responses, such as control of cardiac rate, blood pressure, and perfusion, which can affect nicotine intakes in humans. In addition, $\alpha 3$, $\alpha 4$, and $\alpha 5$ subunits are expressed in a number of non-neural cells, including bronchial and epithelial cells and lung cancer cell lines, where, the activation of nicotinic receptors plays a role in tumor initiation and growth [49,50].

$\alpha 5$ Associated Disorders

Alcohol use disorders

Alcohol use disorders (AUDs) are a world-wide problem with few effective treatments. In the United States, about 18 million people have AUDs, classified as either alcohol dependence or alcohol abuse. There remains a need for improved treatment methods and treatment options to help individuals with AUDs. Alcohol has been shown to interact with nAChRs in the brain [51-53], therefore, nAChRs can serve as a therapeutic target for the treatment of AUDs [54]. Evidence suggests that as many as 80% of alcoholics are also smokers. The high incidence of smoking and alcoholism co-abuse indicates that nAChRs play important roles in alcohol consumption and relapse-like behavior [55]. Furthermore, there is evidence showing that genetic factors are predictors of both long-term alcohol and tobacco consumptions [56]. Overall, this correlation provides a potential opportunity in which it makes nAChR as an attractive target for the treatment of both AUDs and nicotine dependence [54].

Recent human genetic studies show that single nucleotide polymorphisms (SNPs) implication in the *CHRNA5* gene, which encodes for $\alpha 5$ nAChR subunit, has strongly association with higher risk of developing alcohol dependence [56,57]. The genome-wide association (GWA) study also has shown that the *CHRNA5/A3/B4* gene cluster, coding for $\alpha 5$, $\alpha 3$, and $\beta 4$ nAChR subunits, respectively, not only implicates in alcohol dependence, but also multiple substances of abuse [58]. Without any change in acute alcohol response such as preference for a sweet or bitter solution, the *TgCHRNA5/A3/B4* mice overexpress the human nicotinic *CHRNA5/A3/B4* gene cluster have shown a reduced interest of alcohol intakes [59]. While $\alpha 5$ gene deletion enhances acute behaviors, such as alcohol-induced hypothermia, hypnosis recovery time, and the anxiolytic-like response in mice. $\alpha 5$ gene deletion results in decreased alcohol conditioned place preference test (CPP) score, but has no effect on alcohol consumption in drinking behavior tested under normal conditions. However, under the conditions of stress, by multiple daily injections of either saline or nicotine, Drinking-in-the-Dark intake actually reduces in $\alpha 5$ null mutant mice [60]. $\alpha 5$ KO mice show slower recovery from alcohol-induced sleep, as measured by loss of righting reflex. Additionally, the $\alpha 5$ KO mice show enhanced impairment to alcohol-induced ataxia

[61]. These results suggest that the absence of $\alpha 5$ subunits leads to an increase in alcohol-induced sedation and slower recovery, the over expression of $\alpha 5$ leads to a reduction in sedation and a quicker recovery from alcohol-induced sleep, and hence higher tolerance. Moreover, recent studies have shown that varenicline, a smoking cessation aid, efficiently reduces alcohol intake in humans [62].

Nicotine aversion and withdrawal: MHb-IPN pathway

Habenula is a diencephalic structure located on dorsomedial surface of caudal thalamus that is divided into MHb and two divisions of lateral nucleus (LHb). Habenula receives massive afferents from mPFC, NAc, olfactory bulb, septum, and striatum via stria medullaris thalami, and sends projections to IPN, VTA, SNc, medial raphe complex, locus coeruleus, and periaqueductal gray [63-69]. Whereas MHb receives inputs primarily from the limbic system, and sends outputs mainly to IPN; LHb receives inputs primarily from basal ganglia and sends outputs mainly to dopaminergic and serotonergic neurons [22,70]. MHb is involved in the regulation of fear, anxiety, depression and stress by processing aversive and negative sensory inputs.

MHb contains some of the highest densities of nAChRs, especially $\alpha 5$, $\alpha 3$, and $\beta 4$ subunits [39]. Approximately 20% of functional nAChRs in rat MHb neurons project to IPN contain $\alpha 5$ subunit [41]. $\alpha 5$ -containing nAChRs in MHb and IPN have recently been implicated in nicotine self-administration and reward. Allelic variation in the $\alpha 5/\alpha 3/\beta 4$ nAChRs subunit gene cluster increases the risk of tobacco addiction [71]. In experiments, the $\alpha 5$ nAChR KO mice show an increase in nicotine intakes, and intravenously self-administer a lot more nicotine than their wild-type littermates [12]. This phenomenon is restored by re-expressing $\alpha 5$ subunit in MHb in the $\alpha 5$ KO mice, and repeated by $\alpha 5$ knockdown in rat's MHb [12]. The $\alpha 5$ nAChR KO mice are less sensitive to the acute behavioral effects of nicotine, but maintain the expression of CPP at higher doses of nicotine that are aversive in wild-type littermates [72]. This effect is independent from $\alpha 3\beta 4$ -nAChR subunit [12,72]. Nicotine-induced activation of MHb-IPN pathway results in a negative motivational signal that serves to limit further nicotine intake. Hence, disruption of $\alpha 5$ nAChR signaling diminishes the stimulatory effects of nicotine on MHb-IPN activity, and thereby permits greater quantities of consumption for nicotine, and facilitates brain reward activity, which may help explain the increased tobacco addiction vulnerability associated with *CHRNA5* risk alleles [45,73].

In humans, cessation of tobacco intake precipitates both somatic and affective symptoms of withdrawal, which may include symptoms like severe craving for nicotine, irritability, anxiety and so on. In experiments, mice null for $\alpha 5$ nAChRs subunits abolish nicotine withdrawal somatic signs when withdrawal precipitated by injecting nicotinic antagonist mecamylamine [74]. Moreover, direct infusion of mecamylamine into the IPN, but not to the VTA, of nicotine-dependent wild-type mice precipitates the expression of somatic withdrawal signs [74]. This suggests that $\alpha 5$ nAChRs in the MHb-IPN pathway regulate the expression of somatic signs of nicotine withdrawal.

Anxiety and impulsive-like behaviors

Nicotine is known to play an important role in modulating behaviors in different types of animal model for anxiety [75], and different nAChR subtypes are likely to contribute to these effects. Female $\alpha 5$ KO mice show reduced anxiety-like behavior, and this could be related to progesterone effect on $\alpha 5$ subunit expression [76]. $\beta 4$ KO, not $\beta 2$ KO mice also manifestly reduce anxiety-related behaviors [76,77]. These data suggest that the stimulation of $\alpha 5$ - and $\beta 4$ - containing nAChRs is

important for the anxiogenic effects of nicotine.

Recent studies have revealed a direct relationship between impulsivity and vulnerability to develop the addiction-like behavior in rodents [78]. Studies on CHRNA3/A5/B4 gene cluster show its association with nicotine dependence [79] and lung cancer [80,81], suggesting that these genes are involved in nicotine dependence vulnerability. Over-expression of the α 3 α 5 β 4 nAChR combination/subtype exhibits less impulsive-like behavior than wild-type controls, and this behavioral phenotype is related to the numbers of copy of this transgene. Furthermore, this gene cluster over-expression also reduces spontaneous alternation behavior deficits in working memory [82]. The decreased impulsivity suggests the involvement of α 3 α 5 β 4 nAChRs subtype in the personality trait directly relates to drug addiction vulnerability [82].

Conclusions

Both animal and human genetic studies show that α 5 nAChR subunit, especially in MHB-IPN pathway, has been implicated in modulation of nicotine aversion that controls the quantities of drug consumed, and in the development of tobacco dependence. Moreover, α 5 nAChRs are also involved in the alcohol use disorders, which provides new target to treat nicotine and alcohol co-dependence. In the next step of research, development of new specific pharmacological ligands for α 5 subunit will help to understand the underlying mechanisms of nicotine and/or alcohol addiction and withdrawal.

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