Synthesis and Characterizations of Zinc Oxide Nanoparticles for Antibacterial Applications

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Abstract

In this study various shapes and sizes of the wurtzite structure of ZnO nanoparticles were synthesised via a wet chemical method for antibacterial applications. The synthesised ZnO NPs were also modified using biologically active compound, caffeine. The ZnO nanoparticles were investigated by XRD, SEM, FTIR, UV-Vis and Fluorescence spectroscopy. The average crystallite size of the ZnO NPs using XRD was within the ranges of 28.09-31.86 nm. The shape of ZnO NPs synthesised from Zn (NO₃)₂.6H₂O, ZnCl₂, and Zn (CH₃COO)₂.2H₂O grain, spherical and rod-like respectively. The variations in size and shape of ZnO NPs are due to the difference in precursors and calcinations temperature. The absorption peak of the ZnO NPs was observed at 278 nm, 374 nm and 378 nm for ZnCl₂, Zn (NO₃)₂.6H₂O and Zn (CH₃COO)₂.2H₂O respectively. The FTIR peaks due to vibrational phonons of ZnO NPs also confirm the successful production of ZnO nanoparticles. The emission spectra of ZnO NPs were observed in ultraviolet due to the electronic transition from conduction band edge to valence band, and visible emission band due to defects that are related to deep level emissions. The synthesised ZnO NPs were applied for antibacterial activity against S. aureus and E. coli bacteria using agar disc diffusion method. All the three ZnO NPs performed better antibacterial activity than the standard antibiotics and also S. aureus was shown to be more sensitive to ZnO NPs than E. coli.

Keywords: Zinc oxide nanoparticles; Spectroscopic techniques; Antibacterial activities

Introduction

Zinc oxide NPs has unique physical and chemical properties, such as high chemical stability, high electrochemical coupling coefficient, broad range of radiation absorption and high photostability, is a multifunctional material [1,2]. It has tremendous scientific and technological interest due to direct wide band gap energy (3.37 eV), large exciton-binding energy (60 meV) and high thermal and mechanical stability at room temperature make it attractive for potential use in electronics, optoelectronics and laser technology [3,4]. The piezo- and pyroelectric properties of ZnO mean that it can be used as a sensor, converter, energy generator and photocatalyst in hydrogen production [5,6]. Because of its hardness, rigidity and piezoelectric constant it is an important material in the ceramics industry, while its low toxicity, biocompatibility and biodegradability make it a material of interest for biomedicine and in pro-ecological systems [7-9].

Several methods have been reported in the literature for synthesis of ZnO nanoparticles, categorized into either chemical or physical methods, such as nanolithography, physical vapour deposition (PVD), chemical vapour deposition, spray conversion processing, sol-gel process, and precipitation method [10-17]. Among the various methods, precipitation is one of the most important methods to prepare the nanoparticles; the method reduces the temperature of the reaction where homogenous mixtures of the reagents precipitate. It is simple method for the synthesis of nanopowders of metaloxides, which are highly reactive in low temperature sintering.

Metal oxides NPs have been studied extensively to explore their utility as a potential antibacterial agent. The deposition of nanoparticle on the surface of bacteria or accumulation of NPs either in cytoplasm in the periplasmic region causes disruption of cellular function or disruption and disorganization of membranes [18,19]. Similarly, it has been suggested that ZnO NPs are able to slow down the growth of bacteria due to disorganization of the bacteria membranes, which increases membrane permeability leading to accumulation of nanoparticles in the bacterial membrane and cytoplasmic regions of the cells. The different protective mechanism of ZnO NPs has been suggested that ZnO NPs may protect intestinal cells from bacterial infection by inhibiting the adhesion and internalization of bacteria by preventing the increase of tight junction permeability and modulating cytokine [20]. Moreover, the electrostatic attraction between negatively charged bacterial cells and positively charged particles is crucial for the activity of nanoparticles bactericidal materials. This interaction not only inhibits the bacterial growth but also induces the reactive oxygen species (ROS) generation, which leads to cell death [21-23]. The antimicrobial activity of zinc oxide nanoparticles have been studied against Gram-negative bacteria such as Pseudomonas aeruginosa, campylobacter jejuni, Escherichia coli and Gram-positive bacteria such as Bacillus subtiliss and Staphylococcus aureus [24].

In this research, the synthesis of different shape, sizes and morphology of ZnO NPs were synthesised using various precursors via wet chemical method for the inhibition of S. aureus and E. coli bacteria. In addition, surface modification of ZnO NPs was also investigated using biologically active compound, caffeine. The techniques are relatively inexpensive and do not require sophisticated laboratory equipments. In addition, slight variation in precursors or process parameters can produce different morphologies that can be applied in different technological fields.

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Materials and Methods

Materials

All the chemicals used in this experiments were analytical grade and used without any further purification. The precursor chemicals are zinc nitrate hexahydrate (Himedia, India), sodium hydroxide (Alpha chemika, India), zinc chloride (NeoLab, India), zinc acetate dihydrate (Uni-Chem, India), caffeine (Sigma-Aldrich, Germany). And other solvents such as deionized water, nitric acid and di-methyl sulphoxide (DMSO).

Synthesis methods

During synthesis of ZnO NPs the main parameters such as, size of the particle, chemical composition, crystalline structure and morphology must be controlled [25]. In this study, wet chemical method was used for the preparation of ZnO nanoparticles. The synthesis procedures for grain like ZnO nanoparticles were conducted according to the procedures developed [26]. About 12 g of Zn(NO$_3$)$_2$·6H$_2$O was dissolved in 100 ml of deionized water in a beaker and stirred for 25 minutes using magnetic stirrer. The resulting solution was heated under constant stirring, at the temperature of 70°C. Subsequently, 200°C for 2 h in a muffle furnace. Finally, the material was grinded using mortar and pestle.

The synthesis procedures for spherical zinc oxide nanoparticles were conducted according to the procedures developed [27]. About 20 g of zinc chloride was dissolved in 100 ml of deionized water in a beaker and stirred for 45 minutes using magnetic stirrer at the temperature of 90°C. Similarly, 7.27 g of NaOH was dissolved in 100 ml of deionized water in a separate beaker and stirred for 10 minutes. After this, a solution of NaOH was slowly added drop by drop into the beaker containing the Zn(NO$_3$)$_2$·6H$_2$O solution under stirring condition. The suspension formed with the dropping of NaOH alkaline aqueous solution to the Zn(NO$_3$)$_2$·6H$_2$O solution was kept stirred for two hours at the temperature of 70°C. The mixed solution was settled under normal air condition for few hours, and filtered using whatman filter paper. The filtered sample was dried in oven at 160°C for 31/2 h, and calcinated at 300°C for 5 h in a muffle furnace.

The synthesis procedures for spherical zinc oxide nanoparticles were conducted according to the procedures developed [28]. About 15 g of Zn(NO$_3$)$_2$·6H$_2$O was placed into a crucible and calcinated at 90°C. Subsequently, 15 g of NaOH was added to the beaker containing ZnCl$_2$ solution with constant stirring. The aqueous solution turned into a milky white condition. Finally, the material was grinded using mortar and pestle.

Modification of ZnO nanoparticles with caffeine

About 4 g of zinc oxide nanoparticles and 1.5 g of caffeine was dissolved in 60 ml of di-methyl sulphoxide (DMSO) in a beaker. The mixed solution was stirred for 5 h using magnetic stirrer at the temperature of 40°C. After this, the solution was settled under normal air condition and filtered using whatman filter paper. Finally, the filtered sample was dried in oven for 8 h at 160°C.

Methods of characterization

The X-ray powder diffraction patterns of the ZnO nanoparticless were recorded using X-ray Diffractometer (PANalytical X’PERT-PRO Diffractometer) equipped with nickel filtered Cu-K$_\alpha$ radiation ($\lambda=1.5406 \AA$) operating at 45 KV and 30 mA. The data have been collected in the scan range (2θ) from 10-100°. The average crystallite size of ZnO nanoparticles were calculated using Debye Scherer formula according to eqn. (1) [29].

$$D = \frac{0.9\lambda}{\beta\cos \theta}$$  \hspace{1cm} (1)

Where D is average crystallite size, $\lambda$ is wavelength of incident beam (1.5406 Å), $\beta$ is full width at half-maximum (FWHM) in radians and $\theta$ is scattering angle in degrees. The lattice constants 'a' and 'c' and the spacing 'd$_{hkl}$' for wurtzite structure of ZnO have been calculated according to eqns. (2) and (3).

$$a = \frac{1}{\sqrt{3} \sin \theta} \hspace{1cm} (2)$$

$$d_{hkl} = \frac{ac}{2} \sqrt{\frac{3}{c^2(h^2+k^2)+\frac{3}{4}(d_{hkl})^2}}$$  \hspace{1cm} (3)

The morphological feature of the modified and unmodified ZnO NPs were determined by using Scanning Electron Microscopy (SEM), using electron beam energy of 10 KV and 15 KV. The ZnO NP powder is mounted on a sample holder followed by coating with a conductive metal. Then, the sample was scanned with focused fine beam of electrons. The surface characteristics of the sample were obtained from the secondary electrons emitted from the sample surface.

The UV-Vis absorption peaks of ZnO NPs were recorded with UV-Vis spectroscopy (PerkinElmer, Lambda 950 UV/VIS/NIR Spectrophotometer) in the wavelength region of 260 - 600 nm at room temperature. The ZnO nanoparticles were dissolved in DMSO and its absorbance measured using 1 cm quartz cuvette. The ASC files were collected by computer interfaced with the instrument. Finally, the absorption spectra of ZnO NPs were analyzed using origin 8 software.

The emission spectra of ZnO NPs were measured with fluorescence spectroscopy (Fluoromax-4 Spectrofluorometer) at room temperature. Before the measurements, the NPs samples were dissolved in di-methyl sulphoxide (DMSO) and stirred until it dissolved. The emission spectra of the sample were measured by 1 cm quartz cuvette. The excitation wavelength of the samples were performed at 310 nm, 275 nm and 355 nm for salt precursors of Zn(CH$_3$COO)$_2$, 2H$_2$O, ZnCl$_2$ and Zn(NO$_3$)$_2$, 6H$_2$O and emission spectra were measured in the wavelength range of 300-500 nm respectively. The slit width of the instrument was adjusted to 5 nm.

The vibrational phonon and the quality of ZnO NPs were also characterized by Fourier Transform Infrared Spectroscopy (Perkin Elmer, Spectrum 65 FTIR) in the wavenumber region of 4000-400 cm$^{-1}$ according to the procedures reported [30]. The FTIR spectra measurements of the ZnO nanoparticles were performed by putting the powder ZnO nanoparticles on KBr pellet.

Antibacterial activity test

The antibacterial activity of the zinc oxide nanoparticles on gram-positive (S. aureus) and gram-negative (E. coli) bacteria were tested by agar disc diffusion method according to the procedures reported [29]. Microbial strains were grown aerobically in nutrient broth for 24
h at 37°C until the turbidity of bacterial suspensions was achieved to 1.5 × 10⁸ CFU mL⁻¹ by comparison with the 0.5 McFarland Standard. The disc diffusion assay was carried out by swabbing each test strain on Mueller-Hinton (MH) agar plate using the 1/10 dilution of the microbial suspensions. Subsequently, about 300 mg, 450 mg and 600 mg of each ZnO nanoparticles was synthesised from the salt precursors ZnCl₂, Zn(NO₃)₂.6H₂O and Zn(CH₃COO)₂.2H₂O were dispersed separately in 30 ml of dimethyl sulfoxide (DMSO) and stirred for 2 h using magnetic stirrer. Sterile standard filter paper discs (4 mm in diameter) were impregnated with sterile aqueous suspensions of ZnO at 10, 15, 20 % concentrations and placed onto the inoculated plates using sterile forceps. The standard antibiotic drug vancomycin and the null filter paper disc were used as control positive and control negative, respectively. Then the plates were incubated at 37°C for 24 hrs. All measurements were performed in triplicate. Finally, the zone of inhibition formed around the discs was measured in millimetres (mm) and recorded.

Results and Discussion

XRD patterns of ZnO nanoparticles

Figure 1a and 1b shows the XRD pattern of ZnO nanoparticles synthesised using Zn(NO₃)₂.6H₂O and Zn(CH₃COO)₂.2H₂O respectively. The diffraction peaks of ZnO NPs correspond to (100), (002), (101), (102), (110), (103), (112) and (201) planes [28]. The 2-theta values of (100), (002) and (101) lines of Figure 1a and 1b of the crystal planes are located at 31.77, 34.422 and 36.253°. Some peaks were also noticed which associated with impurity on ZnO NPs synthesised from Zn(NO₃)₂.6H₂O. The average crystallite size of the ZnO NPs calculated using Debye Scherer formula was about 31.86 nm and 28.09 nm for salt precursors of zinc acetate dihydrate and zinc nitrate hexahydrate respectively. All ZnO NPs possess wurtzite crystalline structure with lattice parameters (a=0.3233 nm and c=0.5606 tai nm). The obtained results are in a good agreement with previously reported [31]. The variation in the average crystallite size of ZnO NPs may be due to the variation of precursors and calcination temperature. The summary of the XRD analysis of ZnO NPs synthesised from Zn(CH₃COO)₂.2H₂O and Zn(NO₃)₂.6H₂O is given in Table 1a and 1b respectively.

SEM analysis

Figure 2a-2c shows the SEM images of ZnO NPs synthesised using

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<th>FWHM (degree)</th>
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Table 1: The X-ray diffraction parameters and crystallite size of ZnO nanoparticles synthesised using a) zinc acetate dihydrate and b) zinc nitrate hexahydrate.

Figure 1: XRD pattern of ZnO nanoparticles synthesised using a) Zinc acetate dehydrate at calcinations temperature 400°C b) Zinc nitrate hexahydrate at calcinations temperature 300°C.
processes [30,31]. Similarly, on the right side of Figure 2a-2c show the surface modification of ZnO NPs using biologically active compound, caffeine. Disaggregation of ZnO NPs and adsorption of caffeine on the surface alter the typical environment of the nanoparticles. The adsorption of negatively CAF onto ZnO NPs makes the surface negatively charged resulting repulsion between nanoparticles leading to dispersion of already aggregate nanoparticles.

**The UV-Vis absorption pattern of ZnO nanoparticles**

Figure 3a-3c shows the UV-Vis absorption spectra of ZnO nanoparticles synthesised using Zn(NO$_3$)$_2$.6H$_2$O, Zn(CH$_3$COO)$_2$.2H$_2$O, ZnCl$_2$ at different calcinations temperatures. The absorption peak of ZnO nanoparticles were observed at 278 nm, 374 nm and 378 nm at calcinated temperature of 200, 300 and 400°C respectively. As temperature increases, the peak absorbance wavelength become red shifted due to decreasing quantum confinement with increasing particle size. These peaks are due to electronic transition from deep level of valence band to conduction band. The UV-Vis absorption peak variation among the ZnO nanoparticles are due to the difference in their size and shape, which results due to a variety of precursors and calcination temperature [32,33].

**Fourier Transform Infrared Spectroscopy of ZnO nanoparticles**

Figure 4a-4c shows the FT-IR spectra of ZnO nanoparticles synthesised from Zn(NO$_3$)$_2$.6H$_2$O, ZnCl$_2$ and Zn(CH$_3$COO)$_2$.2H$_2$O respectively. The FT-IR spectrum of the NPs contains serious of peaks from 1000 to 4000 cm$^{-1}$ corresponding to carboxylate (COO-) and hydroxyl (O-H) impurities in the materials. The broad band around 3500 cm$^{-1}$ assigned to O-H stretching mode of hydroxyl group, which represents the presence of water molecule on the surface of ZnO nanoparticles. The small peak between 2830 and 3000 cm$^{-1}$ are due to C-H stretching vibration of alkane groups. Similarly, the band observed at 1630 and 1384 cm$^{-1}$ are due to asymmetric and symmetric stretching carbonxylate which attached to the ZnO nanoparticles during synthesis. The carbonate probably comes from the reactive carbon containing plasma species during synthesis of ZnO NPs. As the size of nanoparticles increase the contents of carbonate groups in the sample decrease as shown in Figure 4b and 4c. The sharp peak observed in the range of 433 to 510 cm$^{-1}$ was attributed to the vibrational phonon of ZnO. This result indicates the successful production of ZnO nanoparticles [34].

**Emission spectra of ZnO nanoparticles**

Figure 5a-5c shows the emission spectra of ZnO NPs synthesised using Zn(CH$_3$COO)$_2$.2H$_2$O, ZnCl$_2$ and Zn(NO$_3$)$_2$.6H$_2$O respectively. It is well known that there are two kinds of emission bands of UV and visible spectra in ZnO crystal. The emission in the UV region is attributed to the recombination between electrons in the conduction band and holes in the valance band [35]. The UV emission of ZnO NPs synthesised from precursors, ZnCl$_2$ and Zn(NO$_3$)$_2$.6H$_2$O observed at 325, 392 nm respectively. On the other hand broad band in the visible emission spectra were observed at 455 nm for precursor ZnCl$_2$, 450, 466 481 and 492 nm for Zn(NO$_3$)$_2$.6H$_2$O) and 435, 469 nm, Zn(CH$_3$COO)$_2$.2H$_2$O respectively. The visible emission spectra are related to the transition of electron from deep donor level to valence band due to oxygen vacancies and the transition from conduction band to deep acceptor level due to impurities and defect states [35]. The well known stronger and broader emission situated in the UV to blue green emission suggested that the obtained ZnO NPs are high purity and crystalline.
Figure 4: FTIR spectra of ZnO nanoparticles synthesised using a) zinc nitrate hexahydrate, b) zinc chloride and c) zinc acetate dehydrate.

Figure 5: Emission spectra of ZnO nanoparticles synthesised using a) zinc acetate dihydrate, b) zinc chloride and c) zinc nitrate hexahydrate.
Antibacterial activity of the ZnO nanoparticles

Figures 6a-6c shows the antibacterial activity of ZnO nanoparticles synthesised from ZnCl₂, Zn(NO₃)₂·6H₂O and Zn(CH₃COO)₂·2H₂O on E. coli and S. aureus bacteria respectively. Antibacterial activity results showed that the ZnO nanoparticle synthesised using ZnCl₂ has exhibited strong antibacterial activity against both gram-positive (S. aureus) and gram-negative (E. coli) bacteria compared to the precursor Zn(NO₃)₂·6H₂O and Zn(CH₃COO)₂·2H₂O. Moreover, ZnO nanoparticles performed better antibacterial activity on gram-positive (S. aureus) than the gram-negative (E. coli) bacteria in comparison to standard antibiotics. The previous research report also indicated antibacterial activity of ZnO particles were greater on gram-positive than gram-negative bacteria [36,37]. They suggested that the outer thick peptidoglycan layer and other surface components of gram-positive bacteria may promote ZnO attachment onto the cell wall whereas the components of gram-negative bacteria may repeal this attachment. Contrary to this reported [38] investigated that ZnO particles exhibited a stronger antibacterial activity on gram-negative bacterium than gram-positive bacteria. This discussion points out the importance of understanding the mechanism of ZnO antimicrobial activity. Generally, the growth inhibition of E. coli and S. aureus has been increased by increasing the concentration of ZnO nanoparticles in discs. The zone of inhibition produced by ZnO NPs synthesised using ZnCl₂, Zn(NO₃)₂·6H₂O and Zn(CH₃COO)₂·2H₂O against S. aureus and E. coli is given in Figure 4a-4c respectively.

Conclusions

Zinc oxide nanoparticles have been synthesised using zinc acetate dihydrate, zinc chloride and zinc nitrate hexahydrate as a precursor via a wet chemical method. The synthesised ZnO nanoparticles were characterised by X-ray diffraction (XRD), Scanning Electron Microscopy (SEM), UV–Vis Spectroscopy, Fluorescence Spectroscopy and FTIR Spectroscopy. The averaged crystallite size of unmodified
ZnO nanoparticles synthesised using zinc nitrate hexahydrate and zinc acetate dihydrate was about 28.09 nm and 31.86 nm respectively. The use of different salt precursors and calcination temperature leads to change in the particle size and shape of nanoparticles. The variation in UV-Vis absorption peaks of ZnO NPs indicates that the optical property of ZnO NPs is highly influenced by their shape and size. The vibrational phonon of ZnO observed in the range of 433 cm⁻¹- 510 cm⁻¹ confirms the successful production of ZnO NPs. The ZnO nanoparticles showed two emission bands, an ultraviolet (UV) emission band due to the electronic transition from conduction band edge to valence band, and visible emission band due to defects that are related to deep level emissions. The adsorption of negatively charged caffiene on to ZnO NPs leads to dispersion of already aggregated nanoparticles. The synthesised ZnO NPs were also applied for antibacterial applications. All the three ZnO NPs have been shown admirable antibacterial activity compared to the standard antibiotic drugs on E. coli. Generally, the result in this study indicates that ZnO nanoparticles are more effective against Gram-positive (S. aureus) compared to Gram-negative (E. coli) suggesting that selective action of the ZnO against biological systems.

Acknowledgments

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ZnO nanoparticles synthesised using a precursor ZnCl₂ was revealed excellent antibacterial activity compared to the standard antibiotic drugs on E. coli. Generally, the result in this study indicates that ZnO nanoparticles are more effective against Gram-positive (S. aureus) compared to Gram-negative (E. coli) suggesting that selective action of the ZnO against biological systems.

References
