Innovations of Photodynamic Therapy for Brain Tumors: Potential of Multifunctional Nanoparticles

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Abstract

The poor outcome of primary malignant brain tumors is predominantly due to local invasion and recurrence. Multifunctional nanoparticles harbouring various functions including targeting, imaging and treatment have been intensively studied aiming to overcome limitations associated with conventional cancer diagnosis and therapy. Multifunctionality can be engineered into a single nanoplatform to provide tumour-specific detection, treatment, and follow-up. This review summarizes different targeting strategies for construction of multifunctional nanoparticles including magnetic nanoparticles-based theranostic systems, and the various surface engineering strategies of nanoparticles for in vivo applications. Using nanoparticles as carriers of photoactivable compounds is a very promising approach as they can satisfy all the requirements for an ideal photodynamic therapy agent. Nanoparticles represent emerging photosensitizer carriers that show great promise for PDT.

Keywords: Nanoparticles; Photosensitizer; Photodynamic therapy; Targeting; Imaging; Glioblastoma

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Introduction

Multifunctional nanoparticles have been developed for disease imaging via passive targeting, but recent advances in nanotechnology have enabled cellular-specific targeting, drug delivery and multi-modal imaging using these nanoplatforms [1]. The goal of this interdisciplinary research is to develop targeted multifunctional nanoparticles, serving as both imaging agents and drug carriers that can effectively pass biological barriers, for diagnosis, staging and treatment of tumors such as brain tumors. Malignant brain tumors are the second most common cause of cancer deaths among youth’s people and the sixth most common causes of productive-years loss. Despite advances in neurosurgery and radiotherapy the prognosis for patients with malignant gliomas has changed little for the last decades. Standard treatment of high-grade gliomas usually consists of cytoreductive surgery followed by radiation techniques and chemotherapy.

Photodynamic therapy (PDT) appears to be an interesting modality to fill the need for a targeted treatment that may reduce recurrence and extend survival with minimal side effects. PDT relies on the selective uptake of a photosensitizing molecule in a tumor relative to the surrounding normal parenchyma followed by exposure to the appropriate wavelength of light to activate the photosensitizer. When activated by light irradiation, the photosensitizer interacts with molecular oxygen to produce reactive oxygen species (ROS) and a cytotoxic, short-lived species known as singlet oxygen. Several photosensitizers have been tested in vivo models in brain cancers, demonstrating that using different photosensitizers resulted in varying degree of damage. Interestingly, for example PDT in combination with 5-amino-levulinic acid–induced protoporphyrin IX (ALA-PpIX) appears to damage experimental brain tumors selectively, with negligible damage to normal or peripheral edematous tissue [2]. In another study reported by George et al. [3] the authors implanted U87 cells into the brain parenchyma of nude rats and subsequently administered phthalocyanine (Pc 4) and irradiated light to determine: (i) the kinetics of clearance of the drug from the plasma compartment, (ii) the selectivity for glioma versus normal brain tissue, (iii) the possibility of inducing tumor necrosis and/or apoptosis by Pc4-mediated PDT [3]. Tumor-specific apoptosis was most uniformly seen; however, necrosis was found mostly in the high-light-dose group. Pc 4 concentration in bulk tumor averaged 3.8 times greater than in normal brain. Namatame et al. [4] evaluated histological changes in a...
rat C6 glioma model treated with a combination of talaporfin sodium, a water-soluble photosensitizer derived from chlorophyll and exposure to a diode laser. PDT was performed to the craniotomized area [4]. Distribution and PDT efficiency of SIM01, a promising photosensitizer, were evaluated on orthotopic C6 tumor model in rats by comparison with Photofrin® and mTHPC [5]. Pharmacokinetic studies were performed together with ROS determination assays. The correlation between fluorescence and ROS dosimetry appeared conclusive. The apoptotic response of normal brain and intracranial tumor following PDT mediated by five different photosensitizers (Photofrin®, ALA, chloroaluminum phthalocyanine AICIPC, Tin Ethyl Etiopurpurin SnET2 and mTHPC) was also evaluated at 24 hours post-PDT of brain tumors [6].

In spite of the fact that visible light is safe, this approach is suitable to treat only superficial tumors. Ionizing radiations penetrate much deeper and in that case could offer a big advantage in treating patients with tumors in internal organs. Such concept of using multifunctional nanoparticles to yield electrons and radicals in photodynamic and radiation therapies as well their combination is also reviewed in this article.

**Interest of multifunctional nanoparticles for PDT**

In bio-nanotechnology, the development of multifunctional nanoparticles can overcome most of the shortcomings of classic photosensitizers [7-10]. The efficiency of PDT is linked to the formation of ROS, and it is commonly accepted that 'O₂ is the main cytotoxic species that destroys tumor cells. Indeed, once the photosensitizer has absorbed light, it moves from the ground state excited singlet state, and after intersystem crossing excited triplet state. This triplet state can undergo two kinds of reactions and it is commonly accepted that type II reactions, in which the photosensitizer transfers its energy down to the ground state triplet oxygen to produce 'O₂ is predominant. 'O₂ can diffuse through the cytoplasm and in biological membranes. As the efficiency of PDT is attributable to the production of 'O₂, it is unnecessary to release the loaded photosensitizers, but it is essential that the oxygen can diffuse in and out of the nanoparticles. We relate the advantages of using non-biodegradable nanoparticles especially for their ability to allow the diffusion of 'O₂ in and out.

Polyacrylamide polymers can be used for the synthesis of such nanoparticles, but most of them are ceramic-based (made of silica) or metallic. Their application in drug delivery has not been fully exploited, even though the synthesis of this kind of nanoparticles has been extensively reported in the literature [11]. Different photosensitizers such as 2-devinyl-2-(1-hexyloxyethyl) pyropheophorbide HPPH [12], methylene blue [13] and fullerene [14] have been encapsulated or covalently linked. For a comparison between polyacrylamide and silica-based nanoparticles, methylene blue was loaded in three different types of sub-200 nm nanoparticles: one polyacrylamide based (diameter of 20-30 nm), two silica-based nanoparticles diameter of 190 nm. In terms of drug loading, the polyacrylamide nanoparticles are hydrophilic but small, resulting as expected in a lower loading of methylene blue compared with the loading of the two larger silica-based nanoparticles [13]. The preliminary PDT experiments they performed in *vitro* (C6 rat glioma cell line) using methylene-blue-loaded polyacrylamide nanoparticles revealed significant photocytotoxicity assessed by confocal microscopy. Calcein acetoxy-methyl ester was used to determine cell viability meanwhile propidium iodide marked dead or dying cells. Incubation was not necessary for the nanoparticles because they were not designed to internalize into target cells but to deliver 'O₂, thus requiring only external contact with the cell membrane. The nanoparticle matrix protects the entrapped photosensitizer from the biological environment.

**Application of multifunctional nanoparticles for PDT**

The advantage of using a multifunctional nanoparticles is that it can serve as a platform for drug delivery and for imaging. This approach can be used to treat several types of tumors, even though its potential use is still limited to superficial tumors. In *in vivo* experiments, it was shown that PDT can be used to treat deep tissues, but it is less effective than PDT for superficial tumors. The early stage of tumor development is considered less suitable for PDT treatment, but in intermediate stages, PDT can be very effective. Moreover, PDT can be applied to treat some kinds of tumors, such as melanomas and gliomas, that are resistant to other treatments. In addition, PDT can be used to treat tumors located in confined spaces, such as tumors in the brain, liver, or eye. In this case, PDT can be used to treat tumors located in confined spaces, such as tumors in the brain, liver, or eye.

**Conclusion**

In conclusion, multifunctional nanoparticles offer several advantages over classic photosensitizers. They can be used to treat superficial tumors, but their potential use is still limited to superficial tumors. In *in vivo* experiments, it was shown that PDT can be used to treat deep tissues, but it is less effective than PDT for superficial tumors. The early stage of tumor development is considered less suitable for PDT treatment, but in intermediate stages, PDT can be very effective. Moreover, PDT can be applied to treat some kinds of tumors, such as melanomas and gliomas, that are resistant to other treatments. In addition, PDT can be used to treat tumors located in confined spaces, such as tumors in the brain, liver, or eye. In this case, PDT can be used to treat tumors located in confined spaces, such as tumors in the brain, liver, or eye.
MDA-MB-435. They demonstrated an improved phot cytotoxicity depending on the photosensitizer concentration and the contact time of the targeted nanoparticles. In addition, in vivo these nanoparticles led to a high T2 MRI signal intensity at the tumor area. PDT, with the nanoparticles grafted with F3 peptide, highlighted a statistically significant increase in survival over 50% up to 60 days after treatment [25]. The peptide F3 was also grafted to PAA nanoparticles conjugated with methylene blue [23,24].

Receptors specifically located on angiogenic endothelial cells, such as receptors to vascular endothelial growth factor (VEGF), can be used as molecular targets. Our group has previously described the conjugation of a chlorin (TPC) to a heptapeptide (ATWLPPR), specific for the VEGF receptor, neuropilin-1 (NRP-1) [26,27]. We evidenced a significant decrease in the conjugated photosensitizer cellular uptake after RNA interference-mediated silencing of NRP-1 [28]. In vivo, we demonstrated the interest of using this active-targeting strategy, allowing efficient tumor tissue uptake of the conjugated photosensitizer. In mice ectopically xenografted with U87 human malignant glioma cells, we evidenced that only the conjugated photosensitizer allowed a selective accumulation in endothelial cells of tumor vessels. Thanks to an experimental design, an optimal vascular targeted PDT (VTP) condition was selected to show the effects and inter-dependence of photosensitizer dose, fluence and fluence rate on the growth of U87 cells ectopically xenografted in nude mice [29]. Using the peptide-conjugated photosensitizer, induction of tissue factor expression immediately post-treatment, led to the establishment of thrombogenic effects within the vessel lumen [30].

We also described the design and photophysical characteristics of multifunctional nanoparticles consisting of a surface-localized tumor vasculature targeting peptide (ATWLPPR) and encapsulated PTD (Chlorin) and imaging agents (gadolinium oxide for MRI). Briefly, nanoparticles functionalized with ~4.2 peptides bound to recombinant neuropilin-1 protein and conferred photosensitivity to cells over-expressing neuropilin-1 [31]. The interest of these multifunctional silica-based nanoparticles was reported [1]. We previously described the photodynamic efficiency of multifunctional silica-based nanoparticles for PDT and the quantification of the ATWLPPR peptide moiety on the nanoparticle surface. Non-biodegradable nanoparticles seemed to be very promising careers satisfying all the requirements for an ideal targeted PDT [31].

Some of the following studies do not directly concern brain tumor models however the used nanoparticles appear interesting for this particular application. Concerning ceramic nanoparticles for PDT, Prasad and colleagues [12] encapsulated 2-divinyl-2-(1- hexoxyethyl) pyropheophorbide (HPPH), a photosensitizer which is undergoing phase I and II clinical trials for esophageal cancer [32], by controlled hydrolysis of triethylvinylsiline in micellar media. Generation of \( O_2 \) by a chemical method and direct luminescence at 1270 nm was observed and was very similar for HPPH-containing micelles and HPPH nanoparticles. In vivo experiments (human epithelial ovarian carcinoma UCI-107 and cervical carcinoma Hela cells) showed significant levels of cell death for both HPPH-Tween-80 micelles and HPPH-nanoparticles. In order to prevent release of the photosensitizer, at least partially during systemic circulation, the same team elaborated a new formulation of nanoparticles with the photosensitizer 3-iodobenzylpyropheophorbide attached covalently to the silica matrix [33]. These investigators proved that \( O_2 \) was deactivated mainly outside the nanoparticles. Even if these nanoparticles were not tested on glioma cell lines, their potential use to treat brain tumor should be considered. Wiedier and colleagues compared silica and gold nanoparticle systems [34]. They speculated that the surface-bound photosensitizer on the gold nanoparticles might present a real advantage over an encapsulated photosensitizer in terms of diffusion of singlet oxygen [34]. In contrast to ceramic-based nanoparticles, metallic nanoparticles can be confined to an extremely small particle size (a few nanometers). They possess an enormous surface area, and it is possible to attach a large number of photosensitizer molecules on the surface and not be confined to the core of the silica-based nanoparticles. Consequently, \( O_2 \) can diffuse more easily [35]. More recently, the same team functionalized a gold nanoparticle by coupling to an anti-HER2 [36] antibody. HER2 or Human Epidermal Growth Factor Receptor-2 (EGFR2) belongs to the family of HER transmembrane receptors responsible for the activation of intracellular signaling pathways involved in cell proliferation. Thus, by coupling anti-HER2 antibody to the nanoparticles, the authors demonstrated a selective in vitro targeting on over expressing cell lines (SK-BR-3) or not (MDA-MB-231). Tada et al. [37] described the preparation and characterization of methylene-blue-containing silica-coated magnetic particles [37]. Their nanomaterial comprised silica spheres of diameter 30 nm containing magnetic particles of diameter ~11 nm and methylene blue entrapped in the silica matrix. They showed that \( O_2 \) generated by the photosensitizer immobilized in the silica matrix diffused through the solution. The quantum yield was lower in the silica-coated magnetic particles, estimated to be 3% versus 50% for methylene blue free in acetonitrile. This could be due to scattering by nanoparticles, local sequestration of \( O_2 \) and/or an intrinsically lower quantum yield of encapsulated methylene-blue-derived \( O_2 \). Lai et al. [38] described the synthesis of a multifunctional system based on iridium-complex-functionalized Fe \(_3\)O\(_4\)/SiO\(_2\) [Ir(III)] core/shell nanoparticles [38]. The Ir(III) complex plays both the role of the photosensitizer and the phosphorescent probe. With this single system, possessing a three-in-one functionality, it is possible to couple MRI imaging with the Fe \(_3\)O\(_4\) core, phosphorescence imaging and formation of \( O_2 \), with the photosensitizer being encapsulated within SiO\(_2\). Upon aeration, both the emission intensity and observed lifetime decreased drastically, suggesting a \( O_2 \) quenching process, presumably resulting in production of \( O_2 \). The cellular uptake (Hela cells) and imaging ability were confirmed by MRI. Hela cells treated by PDT showed signs of gradual shrinkage. The formation of bubbles and fragmented cytoplasm clearly indicated the deformation of the cellular membrane and the loss of organelles, which provide sufficient evidence of \( O_2 \)-induced apoptosis. The main drawback was the 366 nm irradiation, which is not really compatible with good penetration of light into the tissue.

The ability to directly and specifically target tumor cells or tumor vasculature by a therapeutic agent minimizes systemic exposure to drugs and increases the therapeutic index. Another interesting target is the folic acid receptor, specifically expressed at the brain blood barrier [39]. Thus, the bi-functional nanoparticles can become multi-functional if a targeting agent is coupled to their surface (Table I). For example, folic acid, a metabolite precursor of a coenzyme, tetrahydrofolate, is involved in the synthesis of purine and pyrimidine nucleic bases constituting nucleic acids. Folic acid-grafted to nanoparticles, nano-objects can be recognized selectively by the folic acid receptor < isomer overexpressed on the surface of certain cancer cells [40].
New developments: improvements in the photophysical properties of nanoparticles and their use in combined therapy

The limitation of PDT in brain is extent of light penetration within brain tissue; the following nanoparticles could be an alternative. Two-photon absorption (TPA)-induced excitation of photosensitizers is one of the promising approaches to increase light penetration. Indeed, appropriate photosensitizers can absorb simultaneously two less-energetic photons; the excitation in the near infra-red (IR) region avoids wasteful tissue absorption or scattering and induces a deeper penetration of light into the tissue. To this end, various molecules with relatively large TPA cross-sections have been designed [41-44]. De Gao et al. [45] described the elaboration of 5,10,15,20-tetrakis(1-methyl 4-pyridino)porphyrin tetra(p-toluenesulfonate) (TMPyP), encapsulated in polyacrylamide-based nanoparticles. Infrared two-photon nanoplatform phototoxicity was demonstrated in vitro by modulating the time of exposure to light [45]. Kim et al. [46] described the synthesis of organically modified silica nanoparticles co-encapsulating HPPH and an excess of 9,10-bis [4''-(4''-aminostyryl)styryl]anthracene (BDSA), a highly two-photon-active molecule as a donor [46]. HPPH absorption in nanoparticles had significant overlap with the fluorescence of BDSA aggregates, which enabled an efficient energy transfer through Forster resonance energy transfer (FRET) mechanisms. After indirect two-photon excitation (850 nm), the authors proved that the energy of the near-IR light was efficiently up-converted (see below) by BDSA aggregates such that it excited HPPH, followed by formation of $1O_2$. The intracellular FRET efficiency was estimated as being approximately 36%. Drastic changes in the morphology of the cells (human cervix epithelioid carcinoma HeLa cells) were observed [46], indicative of impending death.

Zhang et al. [47] described a new concept based on so-called ‘photon up-converting nanoparticles’ (PUNPs). Up-conversion, in which excitatory light at a certain wavelength produces emission at a shorter wavelength, is very promising as with PUNPs it becomes possible to excite the photosensitizers in the near IR. The PUNPs used are the most efficient photon-up converting phosphors [48]. The kinetics of formation of $1O_2$ is very similar to those observed by Tang et al. and Kopelman [13,17]. A mouse monoclonal antibody against the tumor-associated mucin MUC1 (episialin) was attached covalently to the photosensitizer merocyanine-540-coated PUNPs. After IR irradiation, human breast cancer cells shrunk and a photocytotoxic effect was observed [48].

Another new application with great potential is the use of nanoparticles for a combined therapy in which radiation therapy is used together with PDT in a technique called self-lighting photodynamic therapy (SLPDT). Chen and Zhang have designed a new PDT agent system combining radiation and PDT, in which scintillation luminescent nanoparticles are attached to the photosensitizers [49]. Upon exposure to ionizing radiation, such as X-rays, scintillation luminescence will emit from the nanoparticles and activate the photosensitizers. The advantages of SLPDT compared with radiation therapy or PDT alone are: (i) light delivery to the photosensitizer is

### Table 1: Recent studies using multifunctional nanoparticles.

<table>
<thead>
<tr>
<th>Nanoparticles</th>
<th>Photosensitizer</th>
<th>In vitro</th>
<th>In vivo</th>
<th>Reference</th>
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<tr>
<td><strong>Marix</strong></td>
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<td>Gold</td>
<td>-</td>
<td>PEG</td>
<td>anti-HER2 Antibody</td>
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<td>PAA</td>
<td>-</td>
<td>PEG</td>
<td>F3 Peptide</td>
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</tr>
<tr>
<td>PAA</td>
<td>-</td>
<td>PEG</td>
<td>F3 Peptide</td>
<td>60</td>
</tr>
<tr>
<td>Chitosane glycol</td>
<td>-</td>
<td>-</td>
<td>fluorescence</td>
<td>280</td>
</tr>
<tr>
<td>Iron oxyde</td>
<td>Iron oxyde</td>
<td>-</td>
<td>MRI</td>
<td>20</td>
</tr>
<tr>
<td>Cristaux (NaYF4, Yb, Er)</td>
<td>PEG</td>
<td>Folic acid</td>
<td>30</td>
<td>Ce6+ doxorubicine</td>
</tr>
<tr>
<td>Iron oxyde</td>
<td>Iron oxyde</td>
<td>-</td>
<td>MRI</td>
<td>11</td>
</tr>
<tr>
<td>Chitosan</td>
<td>Iron oxyde</td>
<td>MRI</td>
<td>20</td>
<td>PHPP</td>
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<tr>
<td>Silica</td>
<td>Iron oxyde</td>
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<td>F3</td>
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<tr>
<td>PAA</td>
<td>Iron oxyde</td>
<td>PEG</td>
<td>RGD</td>
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</table>
not necessary; (ii) radiotherapy and PDT are combined and activated by a single source, leading to a simple and less expensive system than PDT alone or both therapies used simultaneously; and (iii) similar therapeutic results can be achieved using lower doses of radiation. Morgan et al. [50] calculated the physical parameters for these nanoparticle conjugates to deliver cytotoxic levels of $O_2$ at therapeutic radiation doses [50]. They showed that it is necessary to optimize the light yields of nano scintillators, the efficiency of energy transfer to the photosensitizers, the cellular uptake of the nanoparticles to obtain a cytotoxic effect. Even so, the efficacy of the combination therapy will likely be restricted to X-ray energies below 300 keV, which limits the application to brachytherapy.

Several studies have shown the potential of this excitation source with nanoparticles coupled to folic acid to target folic acid receptor [51,52].

Concerning brain tumors, a very recent study [53] showed that X-rays could activate Photofrin$^*$ from rare-earth formulated particles, leading to a dramatic reduction in the human glioblastoma cells viability. Severe suppression (90% compared to controls) in the metabolic activity of human glioblastoma cells due to the presence of clinically relevant concentration of Photofrin$^*$ (20 μg/ml), with Gd2O2S: Tb particles (5 mg/ml) and 120 kVp X-Ray exposure was observed.

Hyperthermia therapy can be used to improve the efficacy of various cancer treatments. For instance, localized hyperthermia can be suggested in the treatment of malignant brain tumors [54]. Hyperthermia treatment for cancer is where the body tissue is exposed to high temperatures. Research has shown that high temperatures can damage and kill cancer cells, usually with minimal injury to normal tissues. In 2004, it was proposed by the National Cancer Institute that by killing cancer cells and damaging proteins and structures within the cells, hyperthermia treatment might shrink tumors. Preliminary data suggest that heat may be especially destructive to two types of tumor cells those that are making deoxyribonucleic acid in preparation for division and those that are acidic and poorly oxygenated. These cell types tend to be resistant to radiation. In this context, the use of inorganic materials to form magnetic nanoparticles for hyperthermia therapy is of great interest for localized treatment of brain cancer without effecting adjacent healthy tissue. Extensive clinical trials have begun using magnetic hyperthermia in animal models (for a recent review, see [55]). Fe$_3$O$_4$-photosensitizer nanoparticles are of great interest since this system can be used in a combinational treatment with both PDT and hyperthermia therapies [56]. Indeed, hyperthermia can increase the cellular uptake of oxygen molecules [57] that is essential for PDT, and the preferential uptake of porphyrin derivatives in tumors might help target the magnetic nanoparticles to tumors. The crystalline state of the nanoparticles is retained, which assures that the magnetic properties of the excited triplet state are not quenched by the superparamagnetic species in close proximity. Primo et al. [58] reported on the synthesis and characterization of molybdenum chlorophyllin (Mo-Chl) compounds associated in a complex with magnetic nanoparticles (citrate-coated cobalt ferrite), the latter prepared as a biocompatible magnetic fluid. The complex material was developed for application as a synergic drug for cancer treatment using PDT and hyperthermia. Cellular viability was evaluated using gingival fibroblasts cells as a biological model. The study showed that natural photosensitizers molecules Mo-Chl used in association with magnetic nanoparticles represent a promising generation of drug developed to work synergistically in the treatment of neoplastic tissues using PDT and hyperthermia [58].

A very recent study reports on the development of bovin serum albumin nanospheres for synergic application while combining PDT and hyperthermia [59]. The in vitro assay using human fibroblasts revealed no cytotoxic effect demonstrating the potential of the tested system as a synergistic drug delivery system.

**Conclusion**

Multifunctional nanoparticles have emerged as a potential vector for brain delivery, able to overcome problems associated with current strategies. Nanoparticles can indeed serve as targeted delivery devices for therapies including PDT, radiotherapy, anti-vascular and thermotherapy. However, the study of nanoparticle-based PDT is still in its infancy, and much remains to be learned. Overall, the studies reported here suggest that this novel modality is promising and might represent a new direction for brain cancer nanotechnology in the near future. Potential clinical use of these multifunctional nanoparticles includes imaging and PDT.

**References**


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