Patient and health professional views on rehabilitation practices and outcomes following total hip and knee arthroplasty for osteoarthritis:a focus group study

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Abstract

Background: There is worldwide variation in rehabilitation practices after total hip arthroplasty (THA) and total knee arthroplasty (TKA) and no agreement on which interventions will lead to optimal short and long term patient outcomes. As a first step in the development of clinical practice guidelines for post-acute rehabilitation after THA and TKA, we explored experiences and attitudes about rehabilitation practices and outcomes in groups of individuals identified as key stakeholders.

Methods: Separate focus groups and interviews were conducted with patients (THA or TKA within past year) and three health professional groups: allied health professionals (AHPs), orthopaedic surgeons, and other physicians, in Canada and the United States. Pairs of moderators led the focus groups using a standardized discussion guide. Discussions were audiotaped and transcribed verbatim. A content analysis within and across groups identified key themes.

Results: Eleven focus groups and eight interviews took place in six sites. Patients (n = 32) varied in age, stage of recovery, and surgical and rehabilitation experiences. Health professionals (n = 44) represented a range of disciplines, practice settings and years of experience. Six key themes emerged: 1) Let's talk (issues related to patient-health professional and interprofessional communication); 2) Expecting the unexpected (observations about unanticipated recovery experiences); 3) It's attitude that counts (the importance of the patient's positive attitude and participation in recovery); 4) It takes all kinds of support (along the continuum of care); 5) Barriers to recovery (at patient, provider and system levels), and 6) Back to normal (reflecting diversity of expected outcomes). Patients offered different, but overlapping views compared to health professionals regarding rehabilitation practices and outcomes following THA and TKA.

guideline development and ensure stakeholders' perspectives shape the priorities, content and scope of the guidelines.

Conclusion: Results will inform subsequent phases of

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Background

Total hip arthroplasty (THA) and total knee arthroplasty (TKA) surgeries are highly successful orthopaedic procedures for more than 62,000 Canadians [1] and 773,000 Americans [2] each year. The growth in number of THAs and TKAs exceeds the aging of our population due in part to both younger and older individuals electing joint

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Full list of author information is available at the end of the article replacement surgery as a feasible option for their advanced hip and knee osteoarthritis (OA) [3].

Nearly all patients receive post-operative physical ther-apy and/or other rehabilitative services in the hospital, as an outpatient or through home care services [4]. How-ever, the setting, timing, amount and treatment approaches differ widely [5-8]. Despite the cost effective-ness of THA and TKA, in-hospital and rehabilitation costs associated with these surgeries place significant burdens on North American healthcare systems [2,9-11]. Rehabilitation interventions (e.g., physical therapy, occu

pational therapy, nursing care) may enhance surgical outcomes; however, their precise contribution to long-term outcomes such as physical function, mobility, participa-tion in life roles and health-related quality of life (HRQoL) is not clear. A National Institutes of Health (NIH) conference concluded that "...rehabilitation ser-vices are perhaps the most understudied aspect of the peri-operative management of TKA patients" [12].

Disparate views on need for total joint arthroplasty (TJA) surgery, expectations and outcomes of surgery have been

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reported for physicians and patients [13-15], and between surgeons and other health professionals [16]. Hewlett suggests that patients' assessments may differ from those of health professionals due to the influence of needs, attitudes, priorities, experiences and expectations

[17]. It is therefore necessary to explore patient and provider expectations to inform clinical practice guidelines.

The Canadian health care system is characterized by universal access and government funded health care for physician and hospital-based services, few for-profit pro-viders, and lower national health care expenditures than in the US [18], with its varied access to public and private providers depending on one's insurance. These differences in turn influence surgical wait times [1], access to and funding for rehabilitation services, and health out-comes [18]; thus the need to incorporate both perspectives.

The purpose of this study was to move beyond the existing literature and explore patient and health profes-sional experiences with current rehabilitation practices and outcomes following THA and TKA to inform the development of clinical practice guidelines applicable for North America.

Methods

Sampling frame

We were interested in perspectives from four stakeholder groups: 1) individuals who had a primary THA or TKA for OA within the past year; 2) allied health professionals (AHPs, e.g., physical therapist (PT), occupational thera-pist (OT), nurse, medical social worker) currently provid-ing THA or TKA rehabilitative care, education or counseling; 3) physicians (e.g., rheumatologist, physia-trist, family practitioner) who provide THA or TKA care; or 4) orthopaedic surgeons currently performing THA or TKA. Patients were excluded if they were less than 19 years of age, could not converse in English; or had under-gone THA or TKA surgery for inflammatory arthritis, acute fracture/trauma or tumour. Spouses were permit-ted to join the patient discussion groups.

Recruitment

We therefore used strategies to accrue a purposive sam-ple across stakeholder group, demographics and level of experience. Notices, inviting interested individuals to contact the local study coordinator, were posted in clin-ics, waiting rooms, seniors' centers and arthritis con-sumer groups' newsletters as applicable to each stakeholder group. E-mail notices were distributed using staff directories for all types of health professionals.

Focus Groups/Interviews

Focus groups are particularly suited to studying diverse perspectives to gain insight into participants' experiences [19,20] and were the primary means of gathering data, where possible. Focus groups encourage contributions from less verbal individuals who feel supported by other group members with shared experiences [21]. However, individual interviews were conducted when participants were unable to attend their group. Both focus groups and interviews have been used previously in studying various aspects of THA and TKA care, patient experiences and expectations [22-27], but we are not aware of studies that examine THA and TKA rehabilitation practices and out-comes from multiple stakeholders' perspectives.

A discussion guide was developed with input from a multidisciplinary group of clinicians experienced in THA and TKA rehabilitation and researchers experienced in focus group methodology. Open-ended questions progressed from general and uncued to more specific questions with accompanying probes [20,28]. The discussion guide was tested twice and revised to improve clarity based on health professional and patient feedback. Key questions and probes [Appendix A] were rephrased for each stakeholder group to ensure relevance to participants [20]. Separate focus groups were con-ducted with each set of stakeholders to avoid a perceived hierarchy among mixed professional and professional-patient participants [29].

A pair of moderators led each focus group using the standardized discussion guide. The four moderators were female PTs with experience in TJA rehabilitation and group process and included the lead author. Prior to the first focus group, moderators were given written and vid-eotaped instructions on focus group methodology, moderating tips and use of the data collection forms, and each pair conducted

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a pilot session to gain skill and confidence in moderating sessions and trouble shoot problems related to audiotaping, timing and logistics.

Focus group sessions lasted 90 minutes for health professionals and 120 minutes for patient groups (allowing for a stretch break). Individual semi-structured inter-views (face-to-face or telephone) of 30-60 minutes were conducted with participants unable to participate in a focus group; they followed the discussion guide. Sessions were audiotaped and transcribed verbatim for analysis. Participants recorded thoughts on a response form prior to sharing their perspectives with other group members.

Forms were collected and together with the moderators' field notes served to enrich transcripts and study rigor

[30]. Member checking was incorporated into focus groups and interviews by inviting participant feedback on the moderator's summary of the session [21]. Immediately following each focus group, the moderators met to debrief, identify issues that may influence analysis and suggest possible modifications to the discussion guide

[21].

Ethical approval was received from the UBC Behavioral Research Ethics Board and the Vancouver Coastal Health Research Institute for the primary site and as required by institutional policy for each of the other sites. All partici-pants provided informed consent prior to participation, and were offered a small token (\$10 gift certificate).

Data analysis

A thematic content analysis occured concurrently with data collection to allow for revision of questions and development of new lines of inquiry [20,21,29,31]. After checking transcripts for accuracy, the two authors inde-pendently read the transcripts and performed line-by-line, open coding [29], and, following the process out-lined in Figure 1, developed sub-themes for 'within group analysis' and subsequently refined these into key themes for 'across group analysis'.

Disagreements in coding and categorization were discussed and the coding framework refined as necessary using a constant comparison approach [29]. Minority opinions or outliers (negative cases) were identified and discussed

Data collection was discontinued when it was agreed that no new ideas or issues were likely to be raised [20,29]. A decision audit trail was maintained throughout the data collection and analysis phases. Once key themes were identified, transcripts were reviewed and representative quotes selected for each theme. Portions of the coding framework and final analysis were shared with an independent, experienced qualitative researcher for peer checking [30].

Results

Eleven focus groups and eight semi-structured interviews were conducted in five Canadian and one US site. Partici-pants included 32 patients and four spouses, 30 AHPs, five physicians and nine surgeons [Tables 1 &2]. Despite efforts to recruit an ethnically diverse sample, patients were primarily Caucasian but included one African American and one Aboriginal person living on reserve. Allied health professional groups included PTs, OTs, nurses, physician assistants, social workers, and a rehabil-itation assistant and fitness professional. Physicians included family practitioners, physiatrists and a rheuma-tologist. Focus groups ranged in size from four to 10 par-ticipants.

Key Themes

Within group analyses for each stakeholder group resulted in the subthemes summarized in Tables 3, 4, 5 and 6. Further comparison using constant comparison across groups uncovered six major themes. Thus, sub-theme labels reflect concepts specific to each group whereas the key themes reflect concepts across all partic-ipants. Quotes are attributed to participants by noting their age, gender and group, e.g., 41, F, FP is a 41 year old, female, family practitioner.

Theme 1: Let's talk

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A substantial amount of focus group time was spent discussing communication issues. The greatest energy and strongest group interaction occurred over the issues of interprofessional communication and collaboration across settings and throughout the continuum of care. While participants offered descriptions of both positive and negative patient-provider and inter-provider communication, most examples described how poor or lack of communication decreased efficiency, effectiveness and collaboration.

"Communication amongst all the people involved is pretty much non- existent. There's no communication between surgeons and family doctors anymore." [41 F, FP]

"So we have this parade of people with total hips, for example, coming through as though they're all the same and they're not. And I think there's a real need

Poor communication across settings (e.g., from in-patient rehab to family practitioner or private PT) was believed to contribute to inconsistent and poorly coordi-nated services and negatively impact clinical outcomes and patient satisfaction. Centralized information, a com-munication form that stays with the patient, better links between facilities and providers, and practice guidelines were suggestions shared by AHPs and physicians as ways to address this issue. 'Team care' was another approach to enhance communication and was acknowledged as more feasible in inpatient rehabilitation settings where different healthcare providers were housed under the same roof, shared charting and participated in regular team meetings. Inadequate staffing, part time positions and staff turnover negatively impacted team dynamics and consistencies in care. A lack of a collaborative, multidisciplinary approach was felt to lead to inefficiencies, dupli-cation of services and patient dissatisfaction.

Theme 2: Expecting the unexpected

Patients identified a number of unexpected challenges in the post-operative period for which they felt inadequately prepared: pain intensity and management, sleep disturbances, psychological issues and unrealistic activity expectations.

"Nobody said how much pain and swelling there was going to be." [76 F, TKA]

"I think a lot of surgeons forget you've got to sleep - honest to God, they should have to go through it. The first thing is you'd be offered, you know, adequate pain medication post-operative and then that sleep is the biggest factor that you're faced with." [73 M, TKA and retired health professional]

"I don't know how many people [with TKA] I've had in the last little while that come in and they're stunned that they have pain postoperatively...They're so not prepared for the amount of pain they have." [43 F, PT] "...after surgery I felt like the bull AND the china shop. Like I feel I am potentially the source of my demise and I feel fragile." [57 F, THA] Of equal concern to many patients and health profes-sionals were the issues of who to go to when post-opera-tive pain was not well-managed and inconsistent advice on whether additional analgesics (e.g. narcotics) were appropriate after the initial acute care period. "I don't think anybody tells the patients, so they go home, they'll be getting some T3's or something by their surgeon or surgical RN and sometimes that's enough, but usually it's not enough... and they just don't think to call or they don't know who to call." [41 F, FP]

"...the knowledge of pain management from the patient's perspective and their primary care provider's perspective is very poor." [55 M, SURG] All study participants viewed the pre-operative education and preparatory phase as being critical for clarifying expectations and empowering the patient.

"What I've noticed is the [acute care] discharges tend to go better if patients are clear on the expectations, you know, that they're informed of the possible date of discharge so psychologically they can start to prepare themselves. Involving social work early on to assist with addressing the barriers or obstacles I find goes well [42 F, SW]

Unclear or unrealistic patient expectations were felt to lead to greater post-operative pain, significant anxiety and depression, and disappointment around the rate of recovery.

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"I think my expectations on the recovery period were overly optimistic." [57 M, TKA]

"They should be realistic in what they project for you." [69 M, THA]

Differing expectations and views between surgeons and rehabilitation providers on patients' functional status, ongoing need for supervised physical therapy and achiev-able outcomes lead to inconsistent advice, patient confu-sion, premature discontinuation of therapy and less than optimal outcomes. A PT described a common scenario

Theme 3: It's attitude that counts

Health providers and patients alike stressed the importance of the patient's attitude when it came to being an active participant in the rehabilitation process and remaining motivated during the typical ups and downs of recovering from TJA surgery. Physicians and AHPs felt a key part of their roles was to help the patient in this regard: "I like to empower the patient first and foremost." [47 M, PHYS]

Patients were considered an integral part of the team and their active participation in the rehabilitation process vital to good outcomes and greater satisfaction.

"I tell them 'This is what you need to do at home' and they go home and don't practice, definitely that makes a huge difference when you see the patient next time. People are afraid to move or people are really reluc-tant to do it, so I think patient compliance with home exercises is very effective, it's huge." [42 M, PT]

"I would think that a person should be checked to make sure that they are continuing to exercise, they are using the leg. I think it's such a waste of money and time if you don't become better." [61 F, TKA]

Having a positive attitude and taking a proactive approach to the surgery and subsequent rehabilitation phase while acknowledging the mind-body connection were strategies used by many patient participants. "I learned to recognize that my body was wiser and far cleverer than I was so I had better just obey it." [77 M, THA]

"You have to be willing to give not just 100 percent but 150 percent to your own recovery." [46 F, THA]

Theme 4: It takes all kinds of support

Participants reported how different 'facets' of support contributed to health outcomes and overall satisfaction with the surgery and rehabilitation process. Patients and AHPs were more likely than physicians to describe peer.

Personal, provider and system-level factors were identi-fied by our study participants as creating barriers to patients' recovery after TJA. Hoppe et al. acknowledged rehabilitation as an important tool in reducing costs of disability regardless of cause [50]. However, with "the rapid proliferation of private rehabilitation services cur-rently operating with little regulation" [pg 18], those using, prescribing and paying for the services are finding it increasingly difficult to determine if in fact, these ser-vices are of good quality, justified and costeffective [50]. In addition to other strategies, routine use of outcome measures and practice guidelines is suggested as a means of justifying and standardizing treatment approaches to address the structure, process and outcomes of the rehabilitation system. Capping the number of visits or dura-tion of rehabilitation may help to control costs but as identified in our study, such limits were felt to hinder the rehabilitation process, ignore individual patient needs, and potentially lead to poorer outcomes and an overall increase in direct and indirect costs [50].

The issue of timely access to surgical care has been a priority of provincial healthcare ministries in Canada for several years and the focus of several innovative quality improvement strategies [34,51,52]. However, little attention and additional funding have been directed toward addressing barriers to quality rehabilitative care following surgery. Access, including transportation concerns, to rehabilitation services continues to be problematic for Canadians and Americans living in more rural settings. Greater use of technology including

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telerehabilitation (e.g., videoconferencing, remote monitoring) was voiced as a possible solution and deserves further investigation in this patient population [53].

Sanderson et al. reported clinicians and patients have different on outcomes and whereas patients' perspectives conceptualization of valued outcomes is broad, health professionals tend to focus on pathology and functional disability [54]. We found a similar trend with patients describing a wide range of anticipated and expected out-comes covering many dimensions of health and psycho-social well being while health professionals, in particular physicians and surgeons, focused more on impairment, basic function (e.g. walking, using stairs) and surgical parameters (e.g., fixation of implant). These incongruent views may play a role in the reported discrepancies between patients' and health professionals' evaluation of surgical outcomes in which there are moderate correlations at best between patient and clinician assessment of symptoms and disability [55].

Few health professionals reported routinely using standardized outcome measures in their surgical and clinical practices, despite considerable support for their use. Participants' negative views on the utility (e.g., meaningful-ness of numerical scores) and feasibility of using such instruments in clinical practice (e.g., time to administer and score) contributed to the low rate of standardized outcome evaluation. Jette et al. reported that a lack of support (e.g., technology, staffing) and irrelevant and confusing questions were barriers to routine use [56]. Further, the apparent confusion among health profes-sionals regarding what constituted an outcome measure may have led to underreporting and suggests more edu-cation is needed.

Racial differences in patient-provider communication and the expectations and utilization of joint replacement therapy have been described elsewhere [57,58], however, we could find no published data specific to the experiences of Aboriginal North Americans undergoing TJA. The isolation and lack of access to TJA rehabilitation care described by the one First Nations person living on reserve in our study may reflect geographical,

racial or other differences and warrants systematic study, in col-laboration with aboriginal communities.

With the overarching views that "hips and knees are two different beasts" and subgroups of patients require different rehabilitation approaches, it is important to avoid a 'one size fits all' approach when designing rehabil-itation practice guidelines for a broad target audience.

Strengths of the study

The credibility and trustworthiness of findings were enhanced by using a standardized discussion guide, mul-tiple data sources, peer and member checking, independent coding and maintenance of an audit trail throughout the data collection and analyses phases. This study pro-vides new data on specific inter-professional communica-tion issues and barriers to recovery after TIA and shares insight from two vastly different health care systems. Fur-ther, it adds to the research on protracted post-operative pain, sleep disturbance and anxiety well beyond the immediate post-operative stage, which all stakeholders agree are inadequately and inconsistently managed. The perspectives of patients and health care providers alike are important to ensuring the relevance of practice guide-lines, which are extremely time-consuming and expensive to produce [59] and it is imperative to guideline adoption that all viewpoints be carefully considered. Limitations

Due to delays in the ethical review process incompatible with project timelines, only one US site was involved. It is unlikely that we heard the diversity of experiences and health care delivery issues that are inherent in a country with no universal healthcare program and varied access to health insurance. As well, the attitudes, functional lim-itations, access to specialty care, and rehabilitation experiences of uninsured individuals were not captured and may differ from the individuals in our study. Secondly, physician/surgeon focus groups were challenging to orga-nize and did not include as much practice setting diver-sity as intended. Physicians' views may not be transferable to those practicing in more rural settings with less access to rehabilitation resources for their patients. Similarly,

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despite efforts to ensure maximum diversity in patient participants, the experiences of less educated individuals and those not receiving formal rehabilitation services were underrepresented.

Clinical implications

There are several take home messages for clinicians, most of which are directly aligned with principles of client-cen-tered practice [60] aiming to individualize intervention for optimal client outcomes as well as best use of thera-peutic resources:

- Prior to surgery, ensure patient and provider expectations are clearly communicated and realistic;
- Prior to surgery, develop a plan for addressing postacute pain management, psychological distress and sleep disturbances for several weeks following sur-gery;
- Use strategies to enhance self-efficacy and empower patients to adopt a positive attitude and take an active role in their rehabilitation;
- Incorporate efficient approaches to optimize health professional support and follow-up care beyond three months after TJA;
- Where possible, engage family members and peers in education, counseling and exercise instruction;
- Select meaningful outcome measures and consistently use to evaluate effect of interventions through-out the care continuum and across health care settings.

Future research directions

This study raises a number of questions that could be addressed through future research including an examina-tion of communication and information technologies (e.g., telerehabilitation) on patient-provider and inter-provider communication and delivery of TJA rehabilita-tion services. Development and testing of a decision aide or screening tool would assist health care providers in identifying patients at risk for protracted pain, emotional distress and functional

impairment. Further, there is a need to design, implement and evaluate the effects of a range of FU programs on patient satisfaction and long-term outcomes after TJA.

Conclusions

This qualitative, exploratory study provides valuable insight into rehabilitation experiences, attitudes and expectations of individuals who have undergone THA or TKA surgery and the health professionals directly involved in their care. Patients offered a perspective that differed, but overlapped, with the perspectives of health professionals regarding rehabilitation practices and out-comes. Themes arising from all stakeholder groups related to communication, unexpected events, importance of patient attitude and active involvement, profes-sional and social support, barriers to recovery and a return to normalcy. Awareness of the facilitators and bar-riers to achieving optimal outcomes that emerged from this study will help clinicians and administrators in the design and delivery of pre- and post- operative interven-tions aimed at helping patients reach their desired goals after TJA. Stakeholders' views on rehabilitation for TJA will inform the next phases of guideline development and ensure all perspectives shape guideline priorities, scope, and format.

Appendix A - Discussion guide for health professionals

Key questions

1a) Think about these services or programs you are involved in. What is working well?

Probes:

What allows (enables) you to provide good care to these clients?

What aspects of your rehabilitation care wouldn't you change?

1b) Still thinking about these rehabilitation services, tell us what isn't working well?

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What aspects of care would you change?

Are there any concerns that you have regarding rehabilitation services available to patients following these surgeries?

What gets in the way (barriers) of providing best care to these clients?

2) We are now going to shift from talking about rehabil-itation issues and look more closely at outcomes after THA and TKA. What outcomes do you feel are important following THA and TKA?

Probes:

Think of both short-term and long-term outcomes, rehabilitation and surgical outcomes, impairment, activity and participation levels

3) How should these outcomes be assessed or measured in the clinical setting?

Probes:

Do you use any self-report measures? Health profes-sional scored tools? Performance measures?

4)Information from these focus groups will contribute to the larger project of developing multi-disciplinary clin-ical practice guidelines for THA and TKA rehabilitation

There are a lot of different ways that we could share the final results or recommendations with you. How would you like to get this information? [Results of this fourth discussion point will appear in a separate paper.]

Probes:

What would be most helpful to you?

In what format? (written, verbal, interactive, audiovi-sual)

In how much detail? (detailed report, summary, quick study guide)

Abbreviations

Marie D Westby University of British Columbia, Canada, E-mail: marie.westby@vch.ca AHP: Allied Health Professional; FP: Family Practitioner; FU: Follow-up; KIN: Kinesiologist; OA: Osteoarthritis; OT: Occupational Therapist; PT: Physical Therapist or Physiotherapist; PHYS: Physiatrist; RHEUM: Rheumatologist; RN: Nurse; SURG: Surgeon; SW: Social Worker; THA: Total hip arthroplasty; TJA: Total joint arthroplasty; TKA: Total knee arthroplasty; US: United States

Competing interests

The authors declare that they have no competing interests. Authors' contributions MDW and CLB conceived and designed the study. MDW conducted a majority of the focus groups and interviews and both authors analyzed the data. MDW drafted the manuscript and both authors read, revised and approved the final manuscript.

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