

Decreased Expression of Claudin 1, 3, 4, 5 and 7: A New Prognostic Marker in Colon Carcinoma

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Abstract

Background and objective: Claudins (CLDNs) are members of a large family of tissue specific adherent proteins which have been suggested as tumor markers. In this study we analyzed the protein expression of CLDN 1, 3, 4, 5 and 7, their clinical significance and association with tumor growth pattern in colon carcinoma.

Methods: Immunohistochemical staining was used to detect the expression of CLDN 1, 3, 4, 5 and 7 in samples diagnosed with colon carcinoma as well as the adjacent normal mucosa. Complexity Index (CI) was calculated using images of cytokeratin-8 stained slides of the tumours using Photoshop CS, Fovea Pro, and Image J computer programs. The results from the Immunohistochemistry (IHC) and CI were correlated to clinicopathological parameters as well as 5-years survival of the patients diagnosed with colon carcinoma.

Results: Significantly high staining intensity was observed in normal mucosa as compared to colon cancer tissue for CLDN 4 (p=0.031) and 7 (p=0.011) and number of stained cells for CLDN 4 (p=0.001). A significant association was also observed between weaker expression at the invasive front of the tumor tissue and heterogenous expression of CLDN 1 (p=0.000), CLDN 3 (p=0.003), CLDN 5 (p=0.001) and CLDN 7 (p=0.000). There was no significant correlation between the expression of CLDNs, CI and clinicopathological parameters. Similarly, no significant association was found between CLDN expression and 5-years survival of the patients diagnosed with colon carcinoma.

Conclusion: Altered expression of CLDN 1, 3, 4, 5 and 7 in colon carcinoma cells may play a promoting role in colon carcinoma development and is inversely proprtional to higher expression at invasive front of the tumor. CLDN protein expression can be used as a tumor marker since the expression generally is weaker in tumor tissue compared to the normal mucosa.

Keywords: Tight junctions; Complexity index; Immunohistochemistry; Heterogenous expression

Abbreviations:

CLDN: Claudin; IHC: Immunohistochemistry; CI: Complexity Index; TJ: Tight Junction; FFPE: Formalin Fixed Paraffin-Embedded.

Introduction

Intestinal epithelium cells are joined together to form Tight Junction (TJ) complexes which control the paracellular diffusion between the cells. These complexes play an important role in maintaining the concentration differences of small molecules across epithelial cell sheets by sealing the plasma membranes of adjacent cells at the apical surface. This results in the creation of a continuous impermeable or semi permeable barrier for diffusion across the cell sheets [1-3].

The CLDN name originates from the Latin word "claudere" ("to close"), which indicates the barrier role of those proteins. This family

of proteins consists of 27 known CLDN members with a size ranging from 20-27 kDa [4,5]. They are essential for the formation of TJs between epithelial cells together with other adherent proteins called occludins [6]. Several studies have reported altered expression of CLDNs as prognostic marker in various human cancers such as tumors of the gastrointestinal tract, renal, ovary, pancreas, breast, melanoma, liver, lung, uterus and prostate [6,7].

A study by Bello, et al. shows up regulation of CLDN 3 and CLDN 4 in ovarian cancer as well as high expression of CLDN 7 as an early event in carcinoma of the tongue [8]. Furthermore, CLDN 1 has also been found to be down regulated in colorectal carcinoma suggesting a probable target of beta catenin/Tcf signalling [1]. According to previous studies, some reports have presented decreased expression of CLDN 5 in hepatocellular and renal carcinomas [8-10].

There are relatively few reports on the protein expression of CLDNs in colon carcinoma and most of the studies have determined the expression of one or two CLDNs [11-13]. We aimed this study to evaluate the expression and significance of CLDN 1, 3, 4, 5 and 7

proteins in paired normal and tumor tissue samples from 61 patients diagnosed with colon carcinoma and to uncover any possible association between CLDN expression and growth pattern of tumor.

To analyze a tumor, its size and growth pattern are important variables as tumor progression in surrounding tissues shows different patterns depending upon the, invasive margin, number and distribution of tumor cells. Infilterative and expensive are two different categories of tumor growth in which former has irregular and coarse invasive front and considered as responsible for worst prognosis [14,15]. Multiple scoring systems have been introduced to describe the tumor growth in different carcinomas [16]. In 2008, a computer software based technique was introduced by Franzen and Hahn-Strömberg in which the tumor invasive front was graded quantitatively from 1-5, called CI where 1 represents the smooth and regular invasive front while tumors with 5 CI score have highly irregular invasive front with separated tumor cells and clusters [17]. This classification was based upon the fractal dimensions, and number of tumor cells. The proteins, which are involved in the intercellular adhesions are important in maintaining the morphology of the tumor and affect the invasion and metastasis [18,19]. Being a part of TJ complex, CLDNs have a significant role in cell adhesion [20]. So we hypothesize that there is a correlation between tumor progression, tumor growth pattern and adhesive protein expression.

The aim of our study was to compare the expression patterns of 5 different CLDN proteins (CLDN 1,3,4,5 and 7) in normal and paired human colon carcinoma tissue samples and to correlate these results with 5-year survival data of the patients, growth pattern of tumor and clinicopathological parameters of the patients diagnosed with colon carcinoma.

Material and Methods

Sample selection

From the pathology archives, 61 samples diagnosed with colon carcinoma from 2000 to 2009 at Örebro University Hospital were randomly selected. The age of the selected patients at diagnosis varied from 51 to 90 years for both men and women. Equal numbers of control samples were selected from the same patients to compare with tumor samples. Rectal carcinoma samples were excluded since these are often treated with radiotherapy prior to surgery which may result in altered morphologic characteristics. Uppsala University Ethical Committee, Uppsala, Sweden, approved this study.

Immunohistochemistry (IHC) staining

4 μ m thick sections were cut from Formalin Fixed Paraffin-Embedded tissue (FFPE) blocks using a Leica microtome (Buffalo Grove, USA) and the sections were placed on super-frost slides and incubated for 60 min at 62°C. Sections were pretreated for 1 hour and 20 min with EDTA buffer saline solution pH of 9.3 at 97°C.

Primary antibodies anti- CLDN 1 and anti- CLDN 7 were purchased from Invitrogen (California, USA) and anti- CLDN-3,-4, and 5 from Abcam (Cambridge, UK). All antibodies were polyclonal rabbit primary antibodies designed specifically for IHC of FFPE tissue. IHC staining was performed according to manufacturer's protocol (Dako cytomation) with incubation of the primary antibodies for 30 minutes at room temperature with working dilutions of 1:200, 1:400, 1:300, 1:200 and 1.1000 for anti- CLDN 1, 3, 4, 5 and 7 respectively. Staining was performed using an Autostainer from Dako (Dako Produktionsvej, Glostrup, Denmark).

Scoring of slides

Slides were scored in accordance with earlier publications on intensity of staining as well as number of stained cells, with intensity scores of 0, 1, 2 and 3 where 0=no staining, 1=weak, 2=moderate and 3=strong staining. The number of stained cells was scored accordingly with grade 0 <10%, grade 1=10-50%, grade 2=50-80% and grade 3>80% stained cells.

Complexity Index (CI)

40 samples from the patients diagnosed with colon carcinoma were selected randomly for computer image analysis from the same samples used for CLDN expression study. Sectioning, staining, and image processing was performed using the same method described by Franzen, et al. [1,17]. In short, images from the invasive front of the tumor area were taken by using a Leica DC200 digital camera mounted on a Leica DMRXE microscope with a 10X objective lens (Leica Microsystems GmbH, Wetzlar, Germany). From each specimen on average of 8 (4-12) images were captured for every tumor specimen. The number of images depended upon the length of the tumor-stromal area. Images were thresholded into white and black where black was the tumor area with white background. The black area was then removed so that only the outline of the tumor was left. The number of tumor cells, tumor cell clusters and fractal dimensions were calculated using the tumor outline image. The results were translated into a CI value ranging from 1-5.

Statistical analysis

Continuous variables were measured by mean and standard deviation and categorical variables by frequencies. Significance of association between colon carcinoma and adjacent normal tissue CLDN proteins expression was measured statistically by McNemar test. To determine any statistical association between expression of CLDNs in colon cancer tissue and possible explanatory variable, Pearson 's Chi-squared and Fisher exact test were applied appropriately. To determine a correlation between CI, CLDN expression and clinicopathological parameters, Spearman's correlation coefficient (Rho) test was used. Pearson 's Chi-squared test was used to observe heterogeneity in expression. Kaplan Mayer's test was performed to determine any association between CLDN expression and 5-years survival of the patients. Two-sided p-value of ≤ 0.05 was taken as significant. SPSS version 20 (SPSS Inc., Chicago, IL, USA) and Stata (version SE11.1) were used for statistical analysis.

Results

Clinicopathologic study

To determine the protein expression of CLDN 1, 3, 4, 5 and CLDN 7 in colon cancer and in adjacent normal mucosa tissue samples, a random selection of 61 patients' samples were analyzed using IHC. The age of the patients ranged from 51 to 90 years. There were 34 samples from men and 27 from women. 34 of the tumor samples were from right colon and 27 from the left side of colon. Different clinical and pathological parameters (gender, tumor wall penetration (T), lymph noded metastasis (N), distant metastasis (M), Dukes stages,

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differentiation and localisation of the patient tumors) are provided in Table 1.

Characteristics	Number (%)	
Gender	I	
Male	34 (56%)	
Female	27 (44%)	
T Stages		
T1	1 (1%)	
Т2	22 (36%)	
Т3	32 (52%)	
T4	7 (11%)	
LN metastasis		
No	38 (63%)	
N1	10 (16%)	
N2	13 (21%)	
Distant metastasis		
Mx	17 (27.8%)	
MO	38 (62.3%)	
M1	6 (9.8%)	
Differentiation		
High	6 (10%)	
Med	37 (60%)	
Low	18 (30%)	
Dukes		
A	14 (23%)	
В	23 (38%)	
C+D	24 (39%)	
Localisation	I	
Right colon	34	

Table 1: Clinical and pathological characteristics of the patient data.

Immunohistochemical study

Differential expression of CLDN 1, 3, 4, 5 and 7 between normal, highly differentiated and moderately differentiated tumor tissue cells are shown in Figure 1.



Figure 1: IHC staining for claudin 1 (A), claudin 3 (B), Claudin 4(C), Claudin 5 (D), Claudin 7(E) and Normal mucosa (N). Intensity score strong can be seen in A1-E1 and intensity score moderate can be seen in A2-E2.

Both the tumor and adjacent normal colonic mucosa were separately scored. The normal colon mucosa showed high staining intensity for all of these five CLDNs. The numbers of samples with cytoplasmic CLDNs staining were very low, only two cases showed protein expression for CLDN 1, 4 and 7 and one case for CLDN 3 and 5. All samples with cytoplasmic expression were from tumor tissue and were at T4 stage.

All the studied CLDN proteins show moderate to high staining intensity for normal as well as tumor samples (Table 2), however there was a significant difference in staining intensity between tumor and normal tissues for CLDN 4 (p=0.031) and 7 (p=0.011).

Claudin Type of tissue	Type of tissue	Number of stained cells			Intensity of staining		
	Type of tissue	High (N)	Medium (N)	p-value	High (N)	Medium (N)	p-value
Claudin 1	Tumor	32	29	0.121	25	36	0.592
	Normal	59	2	0.151	39	22	0.002
Claudin 3	Tumor	37	24	0.963	28	33	0.803

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	Normal	51	10		53	8	
Cloudin 4	Tumor	47	14	0.004	31	30	0.031
	Normal 52 9	46	15	0.031			
Claudin 5	Tumor	49	12	0.052	35	26	0.739
	Normal	40	21		58	3	
Cloudin 7	Tumor	55	6	0.625	39	22	0.011
	Normal	59	2	0.035	55	6	0.011
High=High expression, Medium= Medium expression, N= Number of samples							

Table 2: Claudin expression in normal vs tumor samples from the patients diagnosed with colon carcinoma.

Staining intensities of CLDN 1, 3, 4, 5 and 7 expressions in tumor tissues were also compared with different clinical and pathological variables (Table 1). For the tumor wall penetration, proportion of tumors with lower CLDN 1, 4, 5 and 7 expressions were higher at T3+T4 stages then with higher expression, but CLDN 3 expression was observed reverse. In case of lymph node metastasis, variable expression was observed for different CLDNs such as, for CLDN 3, 4 and 5 proportion of tumor with lower expression and lymph node metastasis was comparatively higher to increased expression and lymph node metastasis, but opposite results were found for CLDN 1 and 7. Similar results were observed between CLDNs expression and other clinicopathological parameters such distant metastasis, Duke Stages and differentiation. However, in either case, none of the parameter was significantly associated with either CLDN that we determined in current project. Though, a trend was observed between CLDN 3 expressions and medium tumor differentiation (p=0.087), and also between CLDN 5 and lymph node metastasis (p=0.088).

We also compared the number of stained cells between normal and tumor samples. Only CLDN 4 has significantly low number of stained cells in tumor samples as compared with normal samples (p=0.001), while an opposite trend was observed for CLDN 5 (p=0.052). No significant association could be recognized for number of stained cells between tumor and normal tissues in CLDN 1, 3 and 7 (p>0.05) (results not shown).

Additionally, CLDN 1, 3, 4, 5 and 7 were further studied for heterogeneous expression, weak CLDN expression at invasive border and cell membranes of the tumor cells. Heterogeneity of CLDN expression was compared with clinicopathological parameters of the patients (TNM, tumor differentiation), weaker expression of CLDNs at membrane and invasive front of tumor to find any significant association. Heterogeneity in expression was significantly associated with weak expression at invasive border of tumor for CLDN 1 (p<0.001), CLDN 3 (p=0.003), CLDN 4 (p=0.026), CLDN 5 (p=0.001) and CLDN 7 (p<0.001) (Table 3).

Claudin	p-value							
Claudin	Weak exp inv front	Weak memb exp	т	N	м	Differentiation		
Claudin 1	0	0.845	0.453	0.73	0.953	0.635		
Claudin 2	0.003	0.717	0.244	0.479	0.903	0.811		
Claudin 4	0.026	0.905	0.244	0.479	0.903	0.398		
Claudin 5	0.001	0.264	0.453	0.73	0.953	0.635		
Claudin 7	0	0.462	0.453	0.73	0.953	0.635		
Weak exp inv fromt= weak expression at invasive border of the tumor								
Weak memb exp= weak expression at cell membrane								

Table 3: Correlation between heterogeneous claudin expression and clinicopathological data of the patients diagnosed with colon carcinoma.

Claudins (CLDNs) and Complexity Index (CI)

We also analyzed the CI value of each specimen with expression of CLDN proteins (CLDN 1,3,4,5 and 7) and clincopathological parameters (including tumor wall penetration (T), lymph node metastasis (N), distant metastasis (M) and differentiation) of the patients. Results indicate a trend for significant association between CLDN 5 expression and CI (p=0.06). Similar results were seen within tumor wall penetration and high value of CI (p=0.08). CI was not significantly associated with other studied CLDN expression or

clinopathological parameters of the patients. Results are shown in Table 4.

Parameters	Correlation with Complexity Index (CI)
Claudin 1	-0.23
Claudin 3	-0.04
Claudin 4	0.26
Claudin 5	0.06

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Claudin 7	-0.09		
т	0.08		
Ν	-0.13		
М	0.18		
Differentiation	-0.4		
T=Tumor wall penetration, N=lymph node metastasis, M= distant metastasis			

Table 4: Correlation of CI with Claudin expression andclinicopathological parameters of the patients.

To investigate any possible association between CLDN expression (CLDN 1, 3, 4, 5 and 7) and 5-years survival of the patients, we performed Kaplan-Meier analysis (Figure 2). No significant relationship was observed between CLDN expression pattern and 5-years survival of the patients diagnosed with colon carcinoma. Statistical results for association between CLDN expression and survival are p=0.612, p=0.358 p=0.533, p=0.846 and p=0.538 for CLDN 1, CLDN 3, CLDN 4, CLDN 5 and CLDN 7 respectivley (Table 5).

Protein	Kaplan-Meier test (p-value)
Claudin 1	0.612
Claudin 3	0.358
Claudin 4	0.533
Claudin 5	0.846
Claudin 7	0.538

Table 5: Relationship between claudin expression and survival of thePatients diagnosed with colon carcinoma.





Discussion

TJs are apical intercellular junctions in epithelial and endothelial cells. The two major functions defined for TJs are regulation of paracellular permeability and maintenance of the cell polarity through their barrier functions. CLDNs are among the proteins, which form TJs, assist as barrier protein and regulate the permeability of blood vessels and epithelium in different types of tissues [21-24].

Considering the involvement of TJ proteins in the regulation of epithelial proliferation and their potential usefulness as novel tools in cancer diagnosis, prognosis and treatment, we aim our study was to evaluate the expression and significance of CLDN 1, 3, 4, 5 and 7 proteins in paired normal and tumor tissue samples from 61 patients diagnoses with colon carcinoma and correlate the expression with growth pattern of the tumor. To address this issue, IHC was used to determine the patterns of distribution and intensity of expression of CLDNs between normal and adjacent tumor cells.

In our study, we found that most of the normal tissue cells showed a strong CLDN expression compared to the corresponding cancer tissue (Figure 1). Association between normal and paired cancer tissues was very high for CLDN 4 and 7 (Figures B1 and E1). Significant difference was observed between tumor and normal tissue staining intensities for CLDN 4 (p=0.031) and 7 (p=0.011) as there was high expression in normal samples as compared to tumors. Similar results were observed by Ersoz, et al. in colorectal cancer and Jung, et al. in gastric cancer cancer [25,26]. Recently, Suren, et al. reported a correaltion between CLDN 4 and aggressive behavior in colorectal carcinoma [27]. On the other hand, opposite results were found by Hwang, et al. and De Oliveira, et al. where CLDN 4 was upregulated in gastric and colorectal cancer respectively [28,29]. However, the complete correlation between CLDN 4 overexpression and invasive capacity of cancers have not been fully explained. Further studies have been warranted to explore the correlation between CLDN 4 and various types of cancers.

CLDN 7 was studied by Komensky, et al. in breast cancer and he reported low expression as compared with normal breast epithelium which is similar to our findings of CLDN 7 in colon carcinoma [30]. Sauer, et al. reported the reduced expression of CLDN 7 correlated with metastatic disease and higher tumor grade [31]. Similarly, low expression of CLDN 7 was reported in prostate and oesophageal cancers [7]. Regarding CLDN 1, 3 and 5, however, we did not find any significant association between expression intensities of (p>0.05).

We further explore the correlation between number of stained cells in tumor and normal samples. CLDN 4 (p=0.001) has significantly low number of stained cells in tumor samples as compared with normal samples but the opposite was seen for CLDN 5 (p=0.052). No significant association could be recognized for number of stained cells between tumor and normal tissues in CLDN 1, 3 and 7 (p>0.05). Previously, Caruso, et al. and Bujko, et al. reported the elevated levels of CLDN 1 in colorectal cancer [32]. Similarly, CLDN 7 was significantly associated with higher risk of distant metastasis in colorectal cancer [27,33].

Role of CLDN family in tumors is controversial [4,34]. According to a study Seo, et al. a decrease expression of CLDN 1 is correlated to prostate carcinoma and was recommended as an important marker for lung adenocarcinoma [35,36]. Initially it was considered that CLDN 5 is expressed only in endothelial cells, but later on it was found to be expressed in various other cancer cell types as in hepatocellular and renal carcinomas, lower levels of CLDNs 4 and 5 have been determined [37]. Similarly, a decreased expression of CLDN 3 has been associated

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with ovarian epithelial carcinoma [38,39]. The possible reason for discrepancies could be the tumors selected in different studies were at different stages of progression, location of the tumor within the organ and also evaluation of expression at different parts of tumor such as invasive front and centrally located tumor cells. In this study, rectal samples were excluded as they undergo radiation therapy which could possibly have effects on the final results. However, the molecular mechanism responsible for the differential expression of CLDNs in cancer still remains unclear. A much better knowledge of the particular functions of CLDNs, elucidation of mutations responsible for expression and regulation in cancers could provide the important information for future therapeutic interventions.

Intensities of CLDN 1, 3, 4, 5 and 7 expressions in tumor tissues were also compared with different clinical and pathological variables. For the tumor wall penetration, proportion of tumors with lower CLDN 1, 4, 5 and 7 expressions were higher at T3+T4 stages then with higher expression, but CLDN 3 expression was observed reverse. In case of lymph node metastasis, variable expression was observed for different CLDNs such as, for CLDN 3, 4 and 5 proportion of tumor with lower expression and lymph node metastasis was comparatively higher to increased expression and lymph node metastasis, but opposite results were found for CLDN 1 and 7. Similar results were observed between CLDNs expression and other clinicopathological parameters such distant metastasis, Duke stages and differentiation. However, in either case, none of the parameter was significantly associated with either CLDN that we determined in current project. Though, a trend was observed between CLDN 3 expressions and medium tumor differentiation (p=0.087), and also between CLDN 5 and lymph node metastasis (p=0.088).

A 5-years survival analysis did not show any statistically significant association between CLDN expression in patients diagnosed with colon carcinoma. A possible explanation for these results could be small sample size and different stages of colon carcinoma of selected patients in our study.

IHC expression of CLDNs was also examined to expose any possible association between heterogeneity of expression, weaker expression at cell membrane and invasive border of the tumor and clinicopathological parameters of the patients diagnosed with colon carcinoma. A significant correlation was observed between heterogeneity and weaker expression of CLDNs at invasive front of the tumor. This reveals the fact that when CLDNs are not homogenous in expression, there is weaker expression at invasive border which may leads to low proliferation and metastasis of the tumor and vice versa. Studies of Ozerhan, et al. and Suzuki, et al. show that when there is high expression at invasive border of the tumor, tumor is more likely to metastize to other organs [40,41]. Further studies with large number of samples are required to investigate the relation between homogenous expression, invasive border expression and metastasis of tumor which may be an important marker in the selection of patients diagnosed with colon carcinoma for adjuvant therapy.

CI of 40 samples was calculated and graded between 1-5 by measuring fractal dimensions of the invasive front and number of tumor cells/clusters. Results were correlated with expression of CLDN proteins and clinicopathological parameters of the patients.

The results do not show any significant correlation with any of the compared clinical parameters. However a trend was detected between CLDN 5 expression and CI (p=0.06). It suggests when this protein is highly expressed, CI value of the tumor increases and high value of CI

illustrate that tumor has irregular border and even separated into cells and clusters at grade 5. This result indicates that it is not only CLDN 5, which is responsible for maintaining the morphology of cells and there are many other factors which are involved in establishing the integrity of tissues. Another tendency was observed between CI value and tumor wall penetration (p=0.08). Similar findings was seen in a previous study by Mannan and Hahn Stromberg, which supports the CI theory that when the tumor has an irregular border, its CI value is high, thus the penetration capability is higher in tumors with high CI value than those having low CI value and smooth borders [42]. A significant association was seen between CI and tumor wall penetration by Hahn-srömberg, et al. when they observed growth pattern of the tumor and their relation to adhesion proteins and clinicopathological parametres [15]. A negatively significant association was seen between CI value and CLDN 3 expression which indicates that when this protein is expressed, tumor invasive front is regular and vice versa. This finding can be used in further studies to explain this association which can be a useful prognostic marker for colon carcinoma patients. We cannot perceive any significant correlation or trend of all other parameters considered to the CI value which is similar to the previous studies indicating that there may be some proteins other than CLDNs that also have a role in distorted growth pattern in colon carcinoma [1,20].

Conclusion

In conclusion, the expression of CLDN proteins (CLDN 1, 3, 4, 5 and 7) was found significantly less in colon carcinoma tissue compared to the adjacent normal mucosa. We also observed that expression of CLDN (CLDN 1, 3, 4, 5 and 7) is not related to the growth pattern of the tumor as well as 5-years survival of the patients. However, protein expression and CI, both are independent prognostic markers in CRC. There is need of comparatively larger studies containing both gene and protein expression to assess the role of CLDN in colon carcinoma as well as other types of carcinoma.

Authors' Contribution

Rahel Befekadu carried out the immunohistochemical staining as well as drafting the manuscript. Abrar Ahmad carried out the image analysis and the statistical analysis and contributed a lot to the drafting of the manuscript. Shlear Askari assembled the samples, performed the sectioning for immunohistochemical staining and helped with the staining. Victoria Hahn-Strömberg conceived of the study, design, coordination, funding and helped draft the manuscript. All authors read and approved the final manuscript

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