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# Cross-hatching Eustachian Tuboplasty Long Term Outcomes

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### Abstract

**Objective:** To review the long term outcomes of Cross-hatching Eustachian Tuboplasty (ChEt) in patients with Chronic Obstructive Eustachian Tube Dysfunction (COETD), as well as assess the clinical factors associated with surgical success.

Study design: Retrospective case series review.

Setting: Tertiary healthcare institution.

**Methods:** This is a retrospective review by the senior author of all cases of non-revision ChEt for COETD. Follow-up period was 5 years. The curvature of the posterior cushion was modified using an argon laser to alter the spring of the cartilage alleviating the obstructed valve's aperture. Several clinical factors were reviewed in relation to the successful opening of Eustachian tube valve.

**Results:** One hundred and twenty patients, 72 males/48 females, average age 42.4+2 years old, met study inclusion criteria. COETD patients/obstructive causes were: Posterior cushion hypertrophy, 68(56.6%). Tensor Veli and Levator Veli Palatini muscles hypertrophy, 15(12.5%). Remarkable mucosal hypertrophic disease, 37(30.8%). Total of ET tubes was 198. Bilateral 143(72.2%), 55 unilateral (27.7%) ET Valve was seen more open postoperatively on Simple Endoscopy (SE) and Slow Motion Video Analysis (SMVEA). There were no complications. Mean pure tone average improved by 20 dB postoperatively; P=0.015. Mean immitance changes in tympanometric measurements improved postoperatively at least 0.10 mmhos in 91% of the patients (P=.010). Resolution of symptoms was considered a successful outcome. Failure correlated with the severity of disease.

**Conclusion:** High rates of improvement (96%) were achieved. ChEt is a promising technique for the treatment of COETD.

**Keywords:** Chronic obstructive eustachian tube dysfunction; Crosshatching eustachian tuboplasty

## Introduction

COETD is a common disorder causing repeated visits to the doctor's office and substantial medical expenses. The most common symptoms are autophony, hypoacusia, tinnitus and fullness of the ear. When medical treatment fails, surgery should be considered an option. Tympanostomy tube placement is the common procedure. Multiple insertions of ventilating tubes is required if refractory otitis media persists. Numerous surgical procedures have been proposed for correcting COETD. Hopf et al. [1] proposed that intraluminal surgery improves Eustachian tube dysfunction using laser techniques. Kujawski [2] performed the first in 1997 using a CO2 laser focused on the cartilaginous portion and reported that 81% of his 38 patients were symptom-free after 36 months of follow-up. We [3] described the laser-assisted Cross-hatching Eustachian Tuboplasty (ChEt) and reported the preliminary results in 25 patients including a 15 month follow-up. Overall results showed that 92% were free of middle ear effusion with symptoms improving. Nowadays, laser-assisted ChEt is being considered as a minimally invasive surgical modality for

COETD, although further studies are required for determining whether it is an alternative to COETD, instead of repeated tympanostomy tube placement in selected patients. We also developed a Eustachian tube numerical staging, as well as a results reporting system that better assists in the analysis of Eustachian tube surgery [4]. This classification method is simple to use and contributes to a higher level of both intra- and inter-observer agreement in the assessment of COETD. In addition, results can be reproduced easily. This study reports on the validated outcomes of ET surgery in our personal clinical patient series.

## **Materials and Methods**

This is a retrospective case series review carried outat the Sinus Surgery Center, located in Mexico City, where 120 consecutive patients with COETD were diagnosed between February 2001 and June 2008. Patients were classified according to the Yanez Classification System [4], surgically treated with ChEt and followed for 5 years postoperatively. All patients had persistent otitis media with effusion, conductive hearing loss of 5 or more years, and constant COETD related-symptoms. Age and gender were equally distributed. We includes 72 males and 48 females, mean age  $42.4 \pm 2$  years, the

youngest being 4 and the oldest 60 years old. All patients had a history of multiple tympanostomy tube insertions. Three required a simple mastoidectomy a year prior to the surgery. No other type of otologic or nasal surgery was reported by the patients. They served as their own controls. All patients provided informed consent for the surgical procedure and the study was reviewed and approved by the Ethics Committee of the Sinus Surgery Center.

Axial and coronal computer tomography scans of the ear were taken for measure the width of the bony portion of the Eustachian Tube (ET) and the cartilaginous portion morphology, studied while the patients performed the Valsalva maneuver, as well as identifying the internal Carotid Artery and the relationship with the ET. The radiological pathology of the cartilaginous portion of the ET was classified based on mucosal intraluminal swelling as normal, or swollen/opacified; done by a Radiologyst that doesn't know the patients. Preoperative symptoms (earache, hearing loss, ear fullness, tinnitus, autophony and vertigo) were recorded before and after surgery using a 3 point scale (0=absent; 1=mild; 2=moderate; 3=severe symptom). All patients underwent a trans-nasal endoscopic Slow Motion Video Analysis (SMVEA) of their Eustachian tubes previously and after surgery. SMVEA was performed by the same person that doesn't know the patients. The observations were made at a 30° view angle using a rigid Hopkins rod endoscope measuring 4.0 mm or 2.7 mm diameter, (Karl Storz, Tuttinghem, Germany), recorded and analyzed on a S-VHS/SR-VCR in normal time, in slow motion and single frame viewing at 30 frames per second. Examinations were performed while the patient was awake and administered topical anesthesia by placing two or three cotton swabs soaked in a 2% Pontocaine solution and left for 5 to 7 minutes in the inferior meatus. The endoscopes were directed into the pharyngeal orifice while the patient remained sitting and rested his/her head in the chair's headrest. The entire nose was first inspected and the 30° angle view was directed laterally. The ET medial structures and valve were examined. Subjects were asked to repeat the letter K, to swallow and to yawn for better viewing of the palate, medial cartilaginous lamina, Tensor Veli Palatine Muscle (TVPM), Levatorveli Palatine Muscle (LVPM), Sphenopharyngeous Muscle (SphM) and record valve dynamics in both normal and forced motion modes. Figure 1 shows a preoperative view of the ET from the nasopharyngeal orifice.



**Figure 1:** Endoscopic view of the right eustachian tube from the nasopharyngeal orifice (PC: Posterior Cushion; SPhM: Salpingopharyngeous; TVPM: Tensor Velii Palatini).

## Laser eustachian tuboplasty procedure - Cross-hatching

All patients were operated under general anesthesia by the senior author of this article. The ChEt procedure included a bilateral diagnostic nasal endoscopy carried out under general anesthesia. Special attention was paid to examining the ETvalve, TVPM, LVPM and SphM areas. Mucosal contact areas, polypoid or granulomatous tissue were studied in detail. Anatomical variations, such as altered cartilaginous spring of the posterior cushion, abnormally wide or thick posterior cushion, valve morphology, pronounced superior direction of the lumen and valve or absence of the posterior cushion cartilaginous super-structure (congenital or iatrogenic) were also noted and recorded. Obstructive and/or hypertrophic areas of the tube were confirmed by instrument palpation and direct visualization and its direct impact to the valve area was recorded. A tonsil mouth gag was inserted and the mouth opened.

Instruments were placed in a combined fashion using both the endonasal and oral route. An auto-static holder for the endoscopes (Microfrance, Medtronic-Xomed, Jacksonville, Fla.) was mounted on the table and adjusted to the endoscope, facilitating a four-handed technique. No lidocaine and epinephrine injections were administered. The orifice of the tube was visualized with a 30° angled view endoscope (4 mm in diameter) and the medial cartilaginous lamina and valve palpated with a curved ball tipped instrument. After identifying these structures, a KTP 532 nm fiber-delivered laser (Laserscope, San Jose, California, USA) was then introduced through the nose into the nasopharynx and positioned toward the diseased area of the mucosa to be ablated in the medial cartilaginous lamina within the posterior cushion. An endostat single-use fiberoptichandpiece designed for hand-held surgery on very small delicate structures was used housing a 0.6 mm fiber. The settings were 2-4 watts continuously. Laser ablation of the mucosa was begun over the medial edge of the posterior cushion where a mucosal defect was created starting in the center ablating most mucosa on the anterior surface of the posterior cushion. Laser ablation of the submucosal tissue and perichondrium was also accomplished until the cartilaginous superstructure of the posterior cushion was identified and discovered creating a defect from the proximal to distal portion into the tubal lumen to a point 3-4 mm from the functional valve. The valve area was not touched with the laser. Mild, moderate and severe obstructive conditions were treated differently.

Our classification system was used together with the criteria for staging diseases and used to identify the extent of the surgical procedure required for every patient. The shape and size of the area to be ablated was assessed pre-operatively depending on the stage of COETD. In all cases, ChEt was applied to the ET cartilage frame as proposed by the authors. Half or full thickness incisions were made on the concave side of the cartilaginous superstructure of the posterior cushion and extended as close as possible toward the valve area. The opposite mucoperichondrium was not reached. This resulted in a far more effective release of inherent interlocked forces in the cartilage and more reliable long-term straightening favoring better TVPM mobility.

No ET packing or stents were used at the end of the procedure. Care was taken not to injure the mucosa of the anterior edge of the ET to avoid scar band formation. Patients were discharged from the hospital on the same day of the surgical procedure and were instructed to gently blow their noses and use hypertonic saline solution for rinsing their noses. All patients received postoperative nasal steroids and oral dexametasone at a dose of 40 mg daily for 5 days. Both were started on day 2 and were suspended on day 8, postoperatively.

Immediate postoperative control visits took place two and four weeks later and long term follow-up was done in all 120 patients at a year, two, and five years, postoperatively. In the postoperative visits we made the three point scale symptom recording tool, auditory battery tests (tone audiometry, tympanometry, ET-tympanometric tests) The SMVEA and compared to the pre-operative data.

# Statistical method of analysis

All patient data and findings were recorded. The data was transferred into a microcomputer and analyzed using the StatPac statistical software program (Bloomington, MN). Symptom scores were estimated for every preoperative, those at one and four weeks, one, two and five years postoperative symptoms and analyzed using the Wilcoxon's test with one-tailed interpretation. Wilcoxon's twotailed test was used for analyzing the pre- and post-operative simple endoscopic findings and slow-motion endoscopic analysis data and also when correlations of ET patency on pre- and postoperative differences in symptoms and findings were tested. In cases of bilateral symptoms, only the worst ET was used in the analyses.

# Results

Preoperatively, with the SMVE we found a mild impairment of valve dilation in 20 patients (16.66%); 59 patients (49.16%) had a moderate impairment and 41 patients (34.16%) had severe impairment of valve dilation (Figure 2).



**Figure 2:** Impairment of valve dilation. Preoperatively, with the SMVE we found mild impairment of valve dilation in 20 patients (16.66%); 59 patients (49.16%) had a moderate impairment and 41 patients (34.16%) had severe impairment of valve dilation.

Analysis of data obtained from preoperative CT scans of the ear showed the following: normal shape and width (1.3 mm-3.5 mm) of the bony portion of the ET in all 120 patients (100%). No bony dehiscences were recognized in the carotid canal and no opacification was seen in the bony portion. The intraluminal ET mucosa was preoperatively classified as seen on CT scans as normal in 2% of the patients and hypertrophic in 98%.

According to the Yañez and Mora Classification System [4] we found 8 patients (7%) with Sage 0 COETD (score<13) 14 patients (12%) with Stage 1 COETD with a score<22 indicating mild COETD. 28 patients (23%) had Stage 2 (score<34) indicating moderate

COETD. 70 patients (59%) had Stage 3 disease (score<39) indicating severe COETD (Figure 3).



Intraoperative anatomical variations of the ET most commonly found included severely malformed posterior cushion cartilage in 28 patients (23.33%) with severe obstruction of the functional valve area. Obstructive hypertrophy of the TVPM, LVPM and SphM areas was seen in 35 patients (29.16%). Remarkable mucosal hypertrophic disease of the PC was seen in 31 patients (25.83%), and in 16 patients (13.33%) recorded in the vicinity of the functional valve area. Severe scarring tissue completely occluding the proximal tubal lumen and partially obscuring the PC was seen in one patient (0.83%) the remaining 9 had polypoid obstruction.

Ninety three patients (77.5%) were seen after a week. The rest (27 patients (22.5%) were unable to return for their postoperative visit because they lived distant from the city. These patients did not refer any complaints when spoken to on the telephone. One hundred and twelve patients (93.3%) did go to the  $1^{\text{st}}$  year postoperative visit and 120 patients (100%) did go to the  $2^{\text{nd}}$ , and  $5^{\text{th}}$  year follow-up examination.

# Pre- and postoperative symptoms

A Symptom Severity Product (SSP) value was estimated for the 3 point scale symptom pre-operatively, at one, two and five years postoperatively during follow-up and carefully compared. This was the product of severity scoring for each symptom on a scale of one to three, and the percentage of patients with obstructive dysfunction reporting the symptom (Figure 4).

# Tympanometry results

ET tympanometric tests were carried out in all patients at  $1^{st}$  year,  $2^{nd}$  and  $5^{th}$  year postoperative and compared to the pre-operative data, and are shown on Figure 5.



**Figure 4:** A Symptom Severity Product (SSP) value was estimated for the 3 point scale symptom pre-operatively, at one, two and five years postoperatively during follow-up and carefully compared.



**Figure 5:** Tympanometry. Tests were carried out in all patients at 1<sup>st</sup> year, 2<sup>nd</sup> and 5<sup>th</sup> year postoperative and compared to the preoperative data, we include 198 Eustachian tubes. Preoperative there were 183 curve B Tympanometries, in the first year 176 were A and for the 5<sup>th</sup> year 185 were A curves.

# Endoscopic findings at follow-up

Significant swelling of the posterior cushion of the ET orifice was seen in all patients and in most cases mucus or fibrinous debris blocked the tubal orifice. This swelling and the presence of debris disappeared between week 4 and 6 postoperatively. No attempt to remove this debris was made during postoperative visits. Spontaneous clearance of this was allowed to occur.

We made SMVEA at one, two and five years postsurgery. The findings are shown in Figures 6 and 7.

SMVEA findings showed that in 91.6% of the cases (110 patients), good luminal dilation was maintained. Three patients (2.5%) returned to grade 2 COETD.



**Figure 6:** SMVEA at 1 year postoperatively. At one year postsurgery 87% of patients demonstrate good valve dilation on SMVEA, compared with the 83% of moderate and severe valve impairment preoperative SMVEA.



**Figure 7:** SMVEA at 5 year postoperatively. SMVEA findings at 5 years showed that in 98% of the cases (117 patients), good luminal dilation was maintained. The other three patients develop laryngo-pharyngeal reflux that caused recurring middle ear effusion in one ear that subsided after controlling the reflux. They returned to Stage 1 COETD after controlling the associated condition.

## Stage of disease results at follow-up

At 1 year postoperatively, two patients had persistent middle ear effusion in one ear and required another tympanostomy tube placement. No patients had synechiae. Mobility of the medial aspect of the ET seen during SMVEA improved notoriously in 87.5% of the cases (105 patients) with good valve dilation. Fifteen patients (12.5%) had a moderate improvement in ET mobility. At two years postoperatively, 117 out of the 120 patients had normal middle ears with their outer tympanic membrane looking well and transparent.

At five years postoperatively, 118 of the 120 patients had normal middle ears and their tympanic membranes looked well. One patient had another episode of middle ear effusion in one ear and required a third tympanostomy tube placement. A titanium middle ear grommet was inserted for permanent ventilation. Another patient had recurrence of COETD symptoms without any apparent associated condition. SMVEA findings showed that in 91.6% of the cases (110 patients), good luminal dilation was maintained.

# Discussion

Since ChEt is still considered a relatively new procedure, careful patient selection criteria must be established. Very few studies have been carried out and some highly encouraging but preliminary results have been achieved. A comparison of the studies assessing the efficacy of Eustachian Tube Surgery is often difficult due to the lack of a common staging system or a proper definition of COETD. In this study, all eligible patients were studied using the ChEt procedure. Although, the patient sample size is sufficient for drawing conclusions from the different outcomes in this report, large numbers of patients are still needed in more detailed studies in the near future. Correct diagnosis of COETD is of crucial importance in planning the surgical treatment of the chronic disease. Endoscopic methods have improved the diagnostic precision, the use of SMVEA is of the most important. In this study, SMVEA was the tool for reaching a detailed diagnosis of COETD and valve dilation capability.

The major drawback, in an attempt to quantify disease extension, is that repeated ET endoscopies for SMVEA may be considered painful or cause severe discomfort to patients. No other test for measuring ET function postoperatively is as reliable.

Additional useful information is obtained from the patient's history. In order to adequately choose the correct surgical approach, a staging system is helpful. Until now, there are no standardized criteria for the selection of cases that are appropriate for the ChEt procedure mainly because of differences in surgical techniques favored by each surgeon. According to our stage system, ChEt is recommended in COETD stages 2, 3 and 4. However, a cautious interpretation of endoscopic findings is needed to compare the treatment results because of various extensions of the disease and surgical treatment. To clarify the indication of a ChEt approach in the future, the recurrence rate should be analyzed according to the stage of COETD. Therefore, preoperative staging is highly important. This will increase the choice of the adequate surgical ET tissue ablation extension and approach.

# Conclusion

These outcome results suggest that ChEt is safe and efficacious in the treatment of COETD. Preoperative staging of COETD is useful for planning an appropriate surgical approach. The numerical score system used here can objectively quantify and provide a high level of agreement in the evaluation of COETD. We recommend it for reproducing it in ET surgery in large clinical studies. Further evaluation of longer term follow-up will be necessary to determine whether these patients achieve or continue to have good results.

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